



**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

**Fiscal Year 2017
Period Ending August 31, 2016
Highway Fund**



North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit A - Balance Sheet

Assets:

Cash

Cash in Bank - Loans, Postage, Other Accounts	26,527,801.21	
GARVEE Debt Service Reserve Fund	99,387,825.00	
Investments with Fiscal Agent 2007		
Investments with Fiscal Agent 2009		
Investments with Fiscal Agent 2012		
Investments with Fiscal Agent 2015	109,806,646.76	
Investments with Fiscal Agent Energy Savings Loan	333,227.64	
State Treasurer Bank Balance	257,923,871.82	
Total Cash		493,979,372.43

Current Assets

Accounts Receivable	82,918,341.63	
Due From Other Funds	17,350,782.00	
Other Receivables	144,518.02	
Inventory	79,169,516.69	
Other Current Assets	491,450.00	
Total Current Assets		180,074,608.34

Non Current Assets

Capital Assets	1,166,651,578.80	
Long Term Debt	577,762,993.98	
Total Noncurrent Assets		1,744,414,572.78

Total Assets

2,418,468,553.55

Liabilities, Retained Earnings & Fund Balance:

Liabilities

Accounts Payable	32,858,891.80	
Intragovernmental Payable	13,396,043.88	
GARVEE Bonds Payable 2007	56,340,000.00	
GARVEE Bonds Payable 2009	121,195,000.00	
GARVEE Bonds Payable 2012	90,920,000.00	
GARVEE Bonds Payable 2015	261,300,000.00	
Energy Savings Note Payable	6,042,695.58	
Unamortized Premium on Bonds Payable	41,965,298.40	
Due To Other Funds	33,927,019.73	
Other Liabilities	56,603,460.61	
Total Liabilities		714,548,410.00

Retained Earnings

Reserves and Allowances	46,780,133.35	
Retained Earnings	(33,445,488.52)	
Investment in Property	1,018,415,418.09	
Total Retained Earnings		1,031,750,062.92

Fund Balance

Opening Fund Balance	600,947,814.19	
Revenues	741,124,518.75	
Expenditures	(669,902,252.31)	
Change in Fund Balance Year to Date	71,222,266.44	

Total Fund Balance

672,170,080.63

Total Liabilities, Retained Earnings and Fund Balance

2,418,468,553.55

Exhibit B1 pg 1 of 6 - Statement of Fees, Taxes and Other

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Motor Vehicle Revenue							
Truck Licenses	18,184,604.92	34,190,218.63	11,856,993.19	23,880,116.33	187,811,000.00	26,540,964.49	7,649,254.14
Title Fee	67,481.00	108,208.00	67,448.00	138,879.00	-	-	108,208.00
Staggered Registration	26,984,369.86	51,895,437.05	18,108,725.49	37,229,724.33	271,824,000.00	41,464,659.50	10,430,777.55
Gasoline Tax	113,211,525.82	230,489,544.60	127,325,826.34	244,832,558.15	1,251,426,000.00	225,340,713.95	5,148,830.65
Less: DOR Refund	(4,112,592.00)	(4,112,592.00)	(1,193,512.00)	(1,193,512.00)	-	-	(4,112,592.00)
Sub Total Gas Tax	109,098,933.82	226,376,952.60	126,132,314.34	243,639,046.15	1,251,426,000.00	225,340,713.95	1,036,238.65
Gasoline Tax - Lust Fund	1,489,040.16	3,043,826.94	1,799,835.39	3,681,516.62	-	-	3,043,826.94
Gasoline Tax - Lust Fund Transferred Out	(1,489,040.16)	(3,043,826.94)	(1,799,835.39)	(3,681,516.62)	-	-	(3,043,826.94)
Gasoline Tax GF Inspection Tax	82,924.07	169,392.78	80,000.94	167,163.51	-	-	169,392.78
Gasoline Tax GF Transferred Out	(82,924.07)	(169,392.78)	(80,000.94)	(167,163.51)	-	-	(169,392.78)
Gasoline Inspection Tax - HF	1,306,208.38	2,608,123.98	1,277,114.87	2,701,144.85	13,820,000.00	2,483,351.67	124,772.31
Registration Fees	515,913.25	957,230.46	326,320.79	675,111.79	5,929,000.00	821,925.91	135,304.55
Drivers License Fees	12,409,682.65	24,852,184.15	9,970,759.35	21,004,189.26	162,521,000.00	25,632,171.26	(779,987.11)
Auto Safety Equip. Inspection Fees	194,376.53	376,407.78	166,834.55	346,833.19	-	-	376,407.78
Financial Security Restoration Fees	36,100.00	71,750.00	36,800.00	84,298.00	4,257,000.00	782,323.31	(710,573.31)
Lien Recording Fees	18,980.00	41,938.00	90.00	12,200.00	1,870,000.00	96,931.12	(54,993.12)
Exhaust Emission Inspection	2,521,406.09	4,808,149.39	2,045,227.60	4,283,417.60	1,225,000.00	208,277.09	4,599,872.30
International Registration Plan Fees	10,497,645.72	12,298,709.48	3,841,141.84	8,937,025.67	84,773,000.00	8,923,413.03	3,375,296.45
Dealers' Manufacturer's License Fees	146,470.00	255,975.00	107,710.00	209,855.00	163,000.00	22,624.60	233,350.40
Process Service Fees	346,740.00	662,730.00	358,565.00	735,535.00	6,802,000.00	1,082,352.41	(419,622.41)
Over Weight/Size Permits	645,030.69	1,297,463.18	513,049.65	1,134,202.65	44,048,000.00	7,767,733.16	(6,470,269.98)
Motor Carrier Safety Fees	4,858.13	8,551.51	5,523.62	12,072.06	6,679,000.00	1,211,723.98	(1,203,172.47)
Penalties	-	-	-	-	-	-	-
Highway Usage Registration Fees	13,250.00	54,250.00	102,000.00	102,000.00	345,000.00	101,631.77	(47,381.77)
DMV Other Fees	511,247.12	989,386.93	461,520.44	914,399.75	86,000.00	14,263.34	975,123.59
Total Motor Vehicle Revenue	183,503,298.16	361,853,666.14	175,378,138.73	346,040,050.63	2,043,579,000.00	342,495,060.60	19,358,605.54
Other Revenue							
Interest on Funds Invested by Treasurer	323,040.09	323,040.09	155,653.35	155,653.35	1,000,000.00	71,530.36	251,509.73
Miscellaneous Income	11,791.02	9,289.22	2,912,678.48	2,955,001.15	111,000.00	1,031,292.99	(1,022,003.77)
Miscellaneous Income - Return Check Penalty	14,744.65	28,807.02	14,492.82	30,605.62	-	-	28,807.02
Miscellaneous Income - Interest Program Revenue	-	220.44	147.69	147.69	-	-	220.44
Bond Proceed - GARVEE	-	-	-	-	-	-	-
Bond Premium - GARVEE	-	-	-	-	-	-	-
Interest Income - GARVEE Bond Proceed	26,688.20	48,034.12	-	11,279.74	-	-	48,034.12
Energy Savings Proceeds	-	-	-	-	-	-	-
Freight Rail & Rail Crossing Safety Improvement Fund	-	-	-	-	4,000,000.00	-	-
Total Other Revenue	376,263.96	409,390.89	3,082,972.34	3,152,687.55	5,111,000.00	1,102,823.35	(693,432.46)
Miscellaneous Transfers In/Out							
Governmental Transfer in HF	-	-	-	-	-	-	-
Transfers In/Out	(911,787.36)	(1,109,752.05)	(12,379,259.33)	(12,644,824.82)	-	-	(1,109,752.05)
Total Miscellaneous Revenue	(911,787.36)	(1,109,752.05)	(12,379,259.33)	(12,644,824.82)	-	-	(1,109,752.05)
Total Net Revenue	182,967,774.76	361,153,304.98	166,081,851.74	336,547,913.36	2,048,690,000.00	343,597,883.96	17,555,421.02

*Estimated Miscellaneous Income is based on Prior Year Actual Collection

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit B1 pg 2 of 6 - Statement of Fees, Taxes and Other

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Refunds	Uncollectibles	Net Revenue
Motor Vehicle Revenue								
Truck Licenses	18,275,943.19	90,257.37	1,080.90	18,184,604.92	34,352,067.04	162,081.81	(233.40)	34,190,218.63
Title Fee	67,487.00	6.00	-	67,481.00	108,217.00	9.00	-	108,208.00
Staggered Registration	26,994,729.83	9,691.89	668.08	26,984,369.86	51,913,025.62	16,235.49	1,353.08	51,895,437.05
Gasoline Tax	118,071,640.45	4,860,114.63	-	113,211,525.82	238,718,038.11	8,228,493.51	-	230,489,544.60
Less: DOR Refund	(4,112,592.00)	-	-	(4,112,592.00)	(4,112,592.00)	-	-	(4,112,592.00)
Sub Total Gas Tax	113,959,048.45	4,860,114.63	-	109,098,933.82	234,605,446.11	8,228,493.51	-	226,376,952.60
Gasoline Tax - Lust Fund	1,489,040.16	-	-	1,489,040.16	3,043,826.94	-	-	3,043,826.94
Gasoline Tax - Lust Fund Transferred Out	(1,489,040.16)	-	-	(1,489,040.16)	(3,043,826.94)	-	-	(3,043,826.94)
Gasoline Tax GF Inspection Tax	82,924.07	-	-	82,924.07	169,392.78	-	-	169,392.78
Gasoline Tax GF Transferred Out	(82,924.07)	-	-	(82,924.07)	(169,392.78)	-	-	(169,392.78)
Gasoline Inspection Tax - HF	1,306,208.38	-	-	1,306,208.38	2,608,123.98	-	-	2,608,123.98
Registration Fees	516,441.25	513.00	15.00	515,913.25	958,523.46	1,263.00	30.00	957,230.46
Drivers License Fees	11,866,781.05	1,563.20	(544,464.80)	12,409,682.65	23,828,252.45	2,853.70	(1,026,785.40)	24,852,184.15
Auto Safety Equip. Inspection Fees	194,376.53	-	-	194,376.53	376,407.78	-	-	376,407.78
Financial Security Restoration Fees	35,950.00	50.00	(200.00)	36,100.00	71,300.00	50.00	(500.00)	71,750.00
Lien Recording Fees	19,084.00	104.00	-	18,980.00	42,042.00	104.00	-	41,938.00
Exhaust Emission Inspection	2,521,406.09	-	-	2,521,406.09	4,808,149.39	-	-	4,808,149.39
International Registration Plan Fees	10,543,579.23	46,629.55	(696.04)	10,497,645.72	12,398,266.49	91,528.07	8,028.94	12,298,709.48
Dealers' Manufacturer's License Fees	146,615.00	-	145.00	146,470.00	256,120.00	-	145.00	255,975.00
Process Service Fees	346,915.00	100.00	75.00	346,740.00	663,005.00	350.00	(75.00)	662,730.00
Over Weight/Size Permits	645,030.69	-	-	645,030.69	1,297,463.18	-	-	1,297,463.18
Motor Carrier Safety Fees	4,822.13	-	(36.00)	4,858.13	8,514.51	-	(37.00)	8,551.51
Penalties	-	-	-	-	-	-	-	-
Highway Usage Registration Fees	13,250.00	-	-	13,250.00	54,250.00	-	-	54,250.00
DMV Other Fees	508,351.84	5.00	(2,900.28)	511,247.12	987,221.86	10.00	(2,175.07)	989,386.93
Total Motor Vehicle Revenue	187,966,019.66	5,009,034.64	(546,313.14)	183,503,298.16	369,336,395.87	8,502,978.58	(1,020,248.85)	361,853,666.14
Other Revenue								
Interest on Funds Invested by Treasurer	323,040.09	-	-	323,040.09	323,040.09	-	-	323,040.09
Miscellaneous Income	11,791.02	-	-	11,791.02	9,289.22	-	-	9,289.22
Miscellaneous Income - Return Check Fee	12,933.89	-	(1,810.76)	14,744.65	25,641.66	-	(3,165.36)	28,807.02
Miscellaneous Income - Interest	-	-	-	-	220.44	-	-	220.44
GARVEE Bond Proceeds 2015	-	-	-	-	-	-	-	-
GARVEE Bond Premium 2015	-	-	-	-	-	-	-	-
Interest Income - GARVEE Bond Proceed	26,688.20	-	-	26,688.20	48,034.12	-	-	48,034.12
Energy Savings Proceeds	-	-	-	-	-	-	-	-
Freight Rail & Rail Crossings Safety Improvement Fund	-	-	-	-	-	-	-	-
Total Other Revenue	374,453.20	-	(1,810.76)	376,263.96	406,225.53	-	(3,165.36)	409,390.89
Miscellaneous Transfers In/Out								
Governmental Transfer in HF	-	-	-	-	-	-	-	-
Transfers In/Out	(911,787.36)	-	-	(911,787.36)	(1,109,752.05)	-	-	(1,109,752.05)
Total Miscellaneous Revenue	(911,787.36)	-	-	(911,787.36)	(1,109,752.05)	-	-	(1,109,752.05)
Total Net Revenue	187,428,685.50	5,009,034.64	(548,123.90)	182,967,774.76	368,632,869.35	8,502,978.58	(1,023,414.21)	361,153,304.98

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit B1 pg 3 of 6 - Statement of Fees, Taxes and Other

	Current Month						Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Transfers	Account Balance	Gross Revenue	Refunds	Uncollectibles	Net Revenue
Payables and Receivables										
Division Of Air Quality - DENR	309,692.50	463.44	-	309,229.06	280,449.65	28,779.41	590,687.50	1,008.79	-	589,678.71
Sales Tax	48,683.91	45.00	-	48,638.91	27,972.94	20,665.97	76,656.85	45.00	-	76,611.85
Special Registration Plate Fund	284,201.83	200.00	20.00	283,981.83	280,156.75	3,825.08	564,628.58	420.00	70.00	564,138.58
Personalized Registration Plate	299,825.83	1,050.00	(10.00)	298,785.83	279,635.00	19,150.83	580,440.83	2,020.00	-	578,420.83
Collegiate & Cultural Attraction Plate Fund	319,910.00	180.00	(5.00)	319,735.00	290,077.59	29,657.41	1,145,552.59	535,745.00	(5.00)	609,812.59
Parks & Recreation Trust Fund	149,942.92	525.00	5.00	149,412.92	139,863.50	9,549.42	290,316.42	1,005.00	35.00	289,276.42
Rescue Squad Workers' Relief Fund	99,071.52	157.40	-	98,914.12	-	98,914.12	190,211.78	335.52	-	189,876.26
Transit Authority	954,987.00	5.00	38.00	954,944.00	-	954,944.00	1,832,761.00	2,511,027.00	86.00	(678,352.00)
Volunteer Rescue / EMS Fund	150,096.18	238.33	-	149,857.85	-	149,857.85	288,157.50	508.14	-	287,649.36
Natural Heritage Trust Fund	472,628.75	1,575.00	15.00	471,038.75	440,062.50	30,976.25	914,246.25	3,040.00	105.00	911,101.25
DWI Restoration Fee - General Fund	-	-	-	-	-	-	-	-	-	-
DWI Restoration Fee - Forensic Testing	61,457.50	32.50	-	61,425.00	53,690.00	7,735.00	115,147.50	32.50	-	115,115.00
Motorcycle Safety Instruction	95,000.68	-	6.00	94,994.68	108,629.32	(13,634.64)	203,627.00	-	3.00	203,624.00
Special License - Advance Payments	9,390.00	-	-	9,390.00	-	9,390.00	17,770.00	23,920.00	-	(6,150.00)
DOT - It Internal Transaction Fees	4,519.50	-	-	4,519.50	-	4,519.50	8,648.00	-	-	8,648.00
Registration Information	14,741.69	211.00	-	14,530.69	-	14,530.69	28,643.48	237.00	-	28,406.48
Acknowledgement Fees	7,416.00	-	13.00	7,403.00	-	7,403.00	14,218.00	-	16.00	14,202.00
Internet Transaction Fees	13,558.50	-	-	13,558.50	-	13,558.50	25,944.00	-	-	25,944.00
Identification Card (11-15)	49,702.15	13.00	-	49,689.15	-	49,689.15	74,514.35	39.00	-	74,475.35
School Bus & Traffic Safety	9,914.00	-	-	9,914.00	-	9,914.00	10,058.00	-	-	10,058.00
Traffic Records	45,665.00	-	-	45,665.00	-	45,665.00	98,255.00	-	-	98,255.00
Compensation Payable	183,608.00	27.00	3.00	183,578.00	183,792.00	(214.00)	343,329.00	65.00	(21.00)	343,285.00
DMV Organ Donor Website	30,548.70	-	-	30,548.70	26,728.60	3,820.10	57,277.30	-	-	57,277.30
DMV Mercury Switch Removal	36.00	27.00	9.00	-	-	-	73.00	64.00	24.00	(15.00)
UCR	46,364.00	-	-	46,364.00	-	46,364.00	83,542.00	-	227.00	83,315.00
Part Fee	14,456.00	1.00	-	14,455.00	-	14,455.00	28,211.93	41,881.00	1.00	(13,670.07)
Financial Responsibility	-	2,457.00	-	(2,457.00)	-	(2,457.00)	-	2,457.00	-	(2,457.00)
Vehicle Property Tax	88,476,272.99	-	4,901.90	88,471,371.09	88,471,371.09	-	170,897,328.48	-	12,264.96	170,885,063.52
Total Payables And Receivables	92,151,691.15	7,207.67	4,995.90	92,139,487.58	90,582,428.94	1,557,058.64	178,480,246.34	3,123,849.95	12,805.96	175,343,590.43

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit B1 pg 4 of 6 - Statement of Fees, Taxes and Other

	Current Month					Year to Date				
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Transfers	Account Balance	Gross Revenue	Refunds	Uncollectibles	Net Revenue
Miscellaneous Accounts										
Cashiers Advance	-	-	-	-	-	-	-	-	-	-
Bad Checks	120,723.05	191,436.93	(13,924.87)	(56,789.01)	-	(56,789.01)	255,208.48	363,269.75	(50,583.27)	(57,478.00)
Bad Drafts	-	589.16	-	(589.16)	-	(589.16)	1,886.86	589.16	-	1,297.70
Bad Credit Card	-	-	-	-	-	-	-	-	-	-
Accounts Receivable	(609,610.02)	-	-	(609,610.02)	-	(609,610.02)	(655,121.20)	-	-	(655,121.20)
Drafts Receivable	264,375.55	-	-	264,375.55	-	264,375.55	514,853.55	-	-	514,853.55
Fees Due Other Jurisdictions	(3,118,335.00)	51,762.50	6,128.98	(3,176,226.48)	-	(3,176,226.48)	(1,199,191.51)	70,048.42	5,828.98	(1,275,068.91)
Fuel Tax Permits	150.00	174.13	-	(24.13)	-	(24.13)	400.00	174.13	-	225.87
Citation Overpayment	6,363.87	361.65	-	6,002.22	-	6,002.22	11,504.18	771.65	-	10,732.53
Mail Order Overage Refund (>\$5)	75,711.10	72,506.89	-	3,204.21	-	3,204.21	143,264.98	141,589.68	-	1,675.30
Enforcement Collection - STARS	-	-	-	-	-	-	-	-	-	-
Remittance Processor	671,785.14	636,770.25	1,025.15	33,989.74	-	33,989.74	1,934,547.99	1,075,377.08	1,697.38	857,473.53
Same Day Deposits / Refunds	1,129.17	1,762.17	-	(633.00)	-	(633.00)	2,931.48	2,580.62	-	350.86
Suspense Accounts	(14,134.00)	195.60	-	(14,329.60)	-	(14,329.60)	50.00	1,156.79	-	(1,106.79)
DOR - Alert Collections	-	-	-	-	-	-	-	-	-	-
A/P Collection Agency Fees	-	-	-	-	-	-	-	-	-	-
Security Deposits - Driver Records	17,086.51	750.00	-	16,336.51	-	16,336.51	17,086.51	750.00	17,086.51	(750.00)
Title Bond	-	-	-	-	-	-	-	-	-	-
Collision Report Bond	-	-	-	-	-	-	-	-	-	-
Dealer Cash Bond	-	-	-	-	-	-	-	-	-	-
Postage	-	-	-	-	-	-	-	-	-	-
Mail Order Shortage	(31.32)	-	-	(31.32)	-	(31.32)	(54.74)	-	-	(54.74)
Mail Order Overage Refund (<\$5)	(2,791.86)	-	0.13	(2,791.99)	-	(2,791.99)	(5,256.94)	-	14.13	(5,271.07)
A/R Late Penalty	70,694.97	5.00	(1,422.84)	72,112.81	-	72,112.81	133,151.23	10.00	(2,777.44)	135,918.67
A/R Interest Penalty	10,373.41	-	(3,381.63)	13,755.04	-	13,755.04	18,743.22	8.79	(2,746.27)	21,480.70
DMV Service Credit	132,872.64	72,629.64	-	60,243.00	-	60,243.00	245,349.00	137,289.02	-	108,059.98
DMV VPT Compensation	-	-	-	-	-	-	-	-	-	-
Registration Renewal Interest	238,976.78	22.00	128.05	238,826.73	-	238,826.73	471,657.21	23.80	139.12	471,494.29
Total Miscellaneous Accounts	(2,134,660.01)	1,028,965.92	(11,447.03)	(3,152,178.90)	-	(3,152,178.90)	1,891,010.30	1,793,638.89	(31,340.86)	128,712.27

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit B1 pg 5 of 6 - Statement of Fees, Taxes and Other

	Current Month	Year to Date		
	Gross Receipts	Gross Receipts	Refunds / Uncollectibles	Net Receipts
Drivers License:				
Classified License - Class A	5,717.25	11,716.65	40.00	11,676.65
Classified License - Class B	10,474.20	18,270.45	29.00	18,241.45
Classified License - Class C	3,866,316.90	7,134,712.90	2,070.00	7,132,642.90
Duplicate Licenses	965,442.60	1,850,263.90	677.90	1,849,586.00
Learner's Permit	382,602.80	727,236.95	495.00	726,741.95
Restoration Fees	688,057.50	1,289,697.50	1,302.50	1,288,395.00
Special Id Cards	306,824.40	575,912.45	167.00	575,745.45
Record Fees	4,685,494.00	10,459,878.50	40.00	10,459,838.50
Driver Improvement Clinic Fees	5,980.00	13,650.00	250.00	13,400.00
Commercial Application	107,779.50	206,955.95	560.00	206,395.95
Commercial License - Class A	538,560.00	1,016,314.50	286.50	1,016,028.00
Commercial License - Class B	232,820.00	425,548.00	655.50	424,892.50
Commercial License - Class C	11,560.00	21,940.00	100.00	21,840.00
Endorsement	260,112.10	482,577.40	182.60	482,394.80
Limited Provisional License	203,187.35	357,056.40	75.00	356,981.40
Full Provisional License	116,732.70	213,729.20	40.00	213,689.20
Motorcycle Permit	25,868.35	53,774.40	80.00	53,694.40
Commercial Driver Training	-	-	-	-
Total Drivers License	12,413,529.65	24,859,235.15	7,051.00	24,852,184.15
Enforcement Penalties				
Civil Penalties - Inspection Maintenance	6,450.00	9,700.00	-	9,700.00
Civil Penalties - Dealers	-	-	-	-
Civil Penalties - Emissions	2,736.16	5,746.93	-	5,746.93
Civil Penalties - Out Of Service	140,534.37	279,083.22	183.28	278,899.94
Civil Penalties - Oversize Permits	32,446.85	57,830.53	-	57,830.53
Civil Penalties - License & Weight	415,710.75	836,846.27	1,580.71	835,265.56
Civil Penalties - Article 12 Violations	2,525.00	5,525.00	-	5,525.00
Civil Penalties - No Decal	16,598.24	31,497.89	100.00	31,397.89
Total Enforcement Penalties	617,001.37	1,226,229.84	1,863.99	1,224,365.85

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit B1 pg 6 of 6 - Statement of Fees, Taxes and Other

	Current Month				Year to Date			
	Current Year	Prior Year	Increase / (Decrease)	Percent	Current Year	Prior Year	Increase / (Decrease)	Percent
Motor Vehicle Fees								
Motor Vehicle License Fee	55,666,620.50	33,806,860.52	21,859,759.98	64.66%	98,384,365.16	70,046,866.33	28,337,498.83	40.46%
Miscellaneous Registration	515,901.25	393,768.79	122,132.46	31.02%	974,857.46	813,990.79	160,866.67	19.76%
Driver License Fees	12,409,682.65	9,970,759.35	2,438,923.30	24.46%	24,852,184.15	21,004,189.26	3,847,994.89	18.32%
Safety Equipment Inspection	194,376.53	166,834.55	27,541.98	16.51%	376,407.78	346,833.19	29,574.59	8.53%
Financial Security Restoration Fees	36,100.00	36,800.00	(700.00)	-1.90%	71,750.00	84,298.00	(12,548.00)	-14.89%
Civil Penalty - Financial Security	2,327,400.00	1,739,350.00	588,050.00	33.81%	4,392,355.00	3,708,250.00	684,105.00	18.45%
Lien Recording	18,980.00	90.00	18,890.00	20988.89%	41,938.00	12,200.00	29,738.00	243.75%
Emissions	2,521,406.09	2,045,227.60	476,178.49	23.28%	4,808,149.39	4,283,417.60	524,731.79	12.25%
Dealer & Manufacturer License Fees	146,470.00	107,710.00	38,760.00	35.99%	255,975.00	209,855.00	46,120.00	21.98%
Process Service Fee	301,075.00	301,200.00	(125.00)	-0.04%	564,475.00	620,550.00	(56,075.00)	-9.04%
Motor Carrier Safety Regulation	4,958.13	5,829.87	(871.74)	-14.95%	8,651.51	12,478.31	(3,826.80)	-30.67%
Penalty License & Weight Enforcement	615,327.23	760,738.31	(145,411.08)	-19.11%	1,224,365.85	1,453,180.15	(228,814.30)	-15.75%
Vehicle Registration Late Fee	1,991,220.00	-	1,991,220.00	100.00%	1,991,220.00	-	1,991,220.00	100.00%
Electronic & Bulk Data Records	677,602.53	138,699.39	538,903.14	388.54%	821,216.83	255,942.27	565,274.56	220.86%
Total Motor Vehicle Fees	77,427,119.91	49,473,868.38	27,953,251.53	56.50%	138,767,911.13	102,852,050.90	35,915,860.23	34.92%
Payables And Receipts								
Sales Tax	48,638.91	87,081.93	(38,443.02)	-44.15%	76,611.85	100,266.65	(23,654.80)	-23.59%
Special Registration Plate Fund	283,981.83	240,088.00	43,893.83	18.28%	564,138.58	473,649.99	90,488.59	19.10%
Personalized Registration Plate	298,785.83	267,219.16	31,566.67	11.81%	578,420.83	531,419.16	47,001.67	8.84%
Safety Inspection & Exhaust Emission	558,001.03	457,621.50	100,379.53	21.94%	1,067,204.33	957,012.86	110,191.47	11.51%
Collegiate & Cultural Attraction Plate Fund	319,735.00	267,100.00	52,635.00	19.71%	609,812.59	525,224.17	84,588.42	16.11%
Parks & Recreation Trust Fund	149,412.92	133,614.59	15,798.33	11.82%	289,276.42	265,744.59	23,531.83	8.86%
Transit Authority / Transpark	954,944.00	840,369.00	114,575.00	13.63%	(678,352.00)	1,700,094.00	(2,378,446.00)	-139.90%
Natural Heritage Trust Fund	471,038.75	421,103.75	49,935.00	11.86%	911,101.25	835,733.75	75,367.50	9.02%
DWI Restoration Fee - General Fund	-	45,350.00	(45,350.00)	-100.00%	-	93,387.50	(93,387.50)	-100.00%
DWI Restoration Fee - Forensic Testing	61,425.00	45,350.00	16,075.00	35.45%	115,115.00	93,387.50	21,727.50	23.27%
Motorcycle Safety Instruction	94,994.68	72,236.50	22,758.18	31.51%	203,624.00	180,651.75	22,972.25	12.72%
Special License - Advance Payments	9,390.00	18,240.00	(8,850.00)	-48.52%	(6,150.00)	18,240.00	(24,390.00)	-133.72%
Receipts	145,279.84	120,338.71	24,941.13	20.73%	259,988.83	193,068.71	66,920.12	34.66%
Compensation Payable	183,578.00	161,467.00	22,111.00	13.69%	343,285.00	329,253.00	14,032.00	4.26%
DMV Organ Donor Website	30,548.70	37,844.05	(7,295.35)	-19.28%	57,277.30	77,093.45	(19,816.15)	-25.70%
DMV Mercury Switch Removal	-	100,145.50	(100,145.50)	-100.00%	(15.00)	205,639.50	(205,654.50)	-100.01%
UCR	46,364.00	(27,089.00)	73,453.00	-271.15%	83,315.00	3,732.00	79,583.00	2132.45%
Part Fee	14,455.00	12,873.00	1,582.00	12.29%	(13,670.07)	26,502.00	(40,172.07)	-151.58%
Financial Responsibility	(2,457.00)	3,102.00	(5,559.00)	-179.21%	(2,457.00)	(4,113.00)	1,656.00	-40.26%
Vehicle Property Tax	88,471,371.09	74,306,274.48	14,165,096.61	19.06%	170,885,063.52	149,769,137.74	21,115,925.78	14.10%
Total Payables And Receipts	92,139,487.58	77,610,330.17	14,529,157.41	18.72%	175,343,590.43	156,375,125.32	18,968,465.11	12.13%
Grand Totals	169,566,607.49	127,084,198.55	42,482,408.94	33.43%	314,111,501.56	259,227,176.22	54,884,325.34	21.17%

Exhibit B2 pg 1 of 3 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Primary Construction								
Local Government Participation	271,229.00	-	271,229.00	35,558.00	35,558.00	35,558.00	35,558.00	235,671.00
Property Owners Participation	-	-	-	-	-	-	-	-
Outdoor Advertising	-	2,760.00	2,760.00	720.00	2,760.00	3,120.00	4,200.00	-
Junkyard Control	-	-	-	-	-	-	-	-
Primary Construction	271,229.00	2,760.00	273,989.00	36,278.00	38,318.00	38,678.00	39,758.00	235,671.00
Secondary Construction								
Local Government Participation	-	-	-	-	-	213,760.50	213,760.50	-
Property Owners Participation	20,100.00	-	20,100.00	-	-	-	-	20,100.00
Secondary Construction	20,100.00	-	20,100.00	-	-	213,760.50	213,760.50	20,100.00
Urban Construction								
Local Government Participation	62,562.00	-	62,562.00	-	-	-	-	62,562.00
Property Owners Participation	226,200.00	-	226,200.00	-	-	-	-	226,200.00
Urban Construction	288,762.00	-	288,762.00	-	-	-	-	288,762.00
Public Service Roads								
Local Government Participation	170,000.00	-	170,000.00	-	-	-	-	170,000.00
Property Owners Participation	-	-	-	-	-	-	-	-
Public Service Roads	170,000.00	-	170,000.00	-	-	-	-	170,000.00
Spot Safety								
Local Government Participation	-	34,000.00	34,000.00	-	-	-	-	34,000.00
Property Owners Participation	-	-	-	-	-	-	-	-
Spot Safety	-	34,000.00	34,000.00	-	-	-	-	34,000.00
Contingency								
Local Government Participation	2,303,077.00	78,382.37	2,381,459.37	78,382.37	78,382.37	-	-	2,303,077.00
Property Owners Participation	660,400.10	-	660,400.10	-	-	-	-	660,400.10
Contingency	2,963,477.10	78,382.37	3,041,859.47	78,382.37	78,382.37	-	-	2,963,477.10
Primary Maintenance								
Local Government Participation	21,139.65	2,200,000.00	2,221,139.65	550,000.00	550,000.00	-	-	1,671,139.65
Property Owners Participation	-	-	-	-	-	1,152.66	1,152.66	-
Damage Claims Statewide	63,420.01	1,834,810.15	1,898,230.16	1,189,549.91	1,721,219.55	530,824.78	1,022,245.08	177,010.61
LOGO Annual Fees State Wide	-	505,925.00	505,925.00	246,000.00	505,925.00	226,475.00	454,625.00	-
TODS Statewide	-	8,400.00	8,400.00	5,600.00	8,400.00	3,800.00	6,400.00	-
Primary Maintenance	84,559.66	4,549,135.15	4,633,694.81	1,991,149.91	2,785,544.55	762,252.44	1,484,422.74	1,848,150.26

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit B2 pg 2 of 3 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Secondary Maintenance								
Local Government Participation	15,500.53	-	15,500.53	-	-	-	2,150.31	15,500.53
Property Owners Participation	34,160.00	-	34,160.00	-	-	-	-	34,160.00
Damage Claims Statewide	22,913.78	342,591.74	365,505.52	289,398.06	334,200.50	21,266.51	116,101.70	31,305.02
Lust Fund Match Statewide	-	-	-	-	-	-	-	-
Secondary Maintenance	72,574.31	342,591.74	415,166.05	289,398.06	334,200.50	21,266.51	118,252.01	80,965.55
Contract Resurfacing								
Local Government Participation	773,246.00	(9,794.10)	763,451.90	185,205.90	185,205.90	-	-	578,246.00
Property Owners Participation	8,274.00	-	8,274.00	-	-	-	-	8,274.00
Contract Resurfacing	781,520.00	(9,794.10)	771,725.90	185,205.90	185,205.90	-	-	586,520.00
FHWA Construction/SPR/MP								
Local Government Participation	55,211,399.60	606,844.36	55,818,243.96	550,811.11	6,342,438.44	2,245,361.55	2,494,593.57	49,475,805.52
Property Owners Participation	7,000,738.29	290,738.11	7,291,476.40	643,585.75	643,585.75	381,806.80	788,476.35	6,647,890.65
Federal Aid	1,137,948,747.81	(197,597,419.26)	940,351,328.55	108,559,930.00	171,655,676.00	67,570,206.00	125,140,185.00	768,695,652.55
GARVEE	34,539,338.00	82,242,056.00	116,781,394.00	13,001,489.00	13,001,489.00	11,306,725.00	11,306,725.00	103,779,905.00
Stimulus	-	-	-	-	-	-	-	-
FHWA Construction/SPR/MP	1,234,700,223.70	(114,457,780.79)	1,120,242,442.91	122,755,815.86	191,643,189.19	81,504,099.35	139,729,979.92	928,599,253.72
Governors' Highway Safety								
National Highway Safety Administration	36,630,358.16	-	36,630,358.16	2,782,858.00	3,828,602.00	3,137,228.00	4,980,905.00	32,801,756.16
Governor's Highway Safety	36,630,358.16	-	36,630,358.16	2,782,858.00	3,828,602.00	3,137,228.00	4,980,905.00	32,801,756.16
Rail Program								
Local Government Participation	13,668,876.02	88,419.36	13,757,295.38	6,417.37	115,495.48	1,192,817.54	1,958,098.08	13,641,799.90
Property Owners Participation	9,684,185.20	-	9,684,185.20	2,750.00	2,750.00	-	40,729.51	9,681,435.20
Grant Federal Rail Administration- Statewide	16,342,682.00	6,894,842.00	23,237,524.00	-	-	-	-	23,237,524.00
ARRA	139,886,249.00	-	139,886,249.00	6,619,084.00	10,734,866.00	17,135,588.00	24,744,568.00	129,151,383.00
Rail Program	179,581,992.22	6,983,261.36	186,565,253.58	6,628,251.37	10,853,111.48	18,328,405.54	26,743,395.59	175,712,142.10
Airport Program								
Grant Federal Aviation Adm. Statewide	65,472,098.06	(880,305.00)	64,591,793.06	-	2,462,712.00	-	1,144,033.00	62,129,081.06
Airport Program	65,472,098.06	(880,305.00)	64,591,793.06	-	2,462,712.00	-	1,144,033.00	62,129,081.06

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit B2 pg 3 of 3 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Public Transportation								
Local Government Participation	-	6,336.00	6,336.00	6,336.00	6,336.00	-	-	-
Property Owners Participation	4,000.00	(4,000.00)	-	-	-	(4,000.00)	(4,000.00)	-
Federal Transit Authority	93,053,084.55	7,873,129.00	100,926,213.55	5,851,702.00	7,266,340.00	7,066,705.00	10,181,503.00	93,659,873.55
ARRA	-	-	-	-	-	480,710.00	843,936.00	-
Public Transportation	93,057,084.55	7,875,465.00	100,932,549.55	5,858,038.00	7,272,676.00	7,543,415.00	11,021,439.00	93,659,873.55
Non-System Streets								
Reimbursement of Expense Statewide	8,093,754.22	20,828.82	8,114,583.04	2,911,123.35	3,005,745.59	75,964.34	182,548.48	5,108,837.45
Non-System Streets	8,093,754.22	20,828.82	8,114,583.04	2,911,123.35	3,005,745.59	75,964.34	182,548.48	5,108,837.45
Motor Carrier Safety Grants								
FHWA	18,412,365.02	259,014.00	18,671,379.02	52,733.00	96,744.00	-	1,133,420.00	18,574,635.02
Motor Carrier Safety Grants	18,412,365.02	259,014.00	18,671,379.02	52,733.00	96,744.00	-	1,133,420.00	18,574,635.02
NC Emergency/FEMA								
Primary System Statewide	-	-	-	-	-	-	-	-
Secondary System Statewide	24,359,970.56	-	24,359,970.56	-	112,168.88	-	-	24,247,801.68
Urban System Statewide	-	-	-	-	-	-	-	-
Fire Prevention and Safety	525,000.00	-	525,000.00	-	-	-	-	525,000.00
NC Emergency/FEMA	24,884,970.56	-	24,884,970.56	-	112,168.88	-	-	24,772,801.68
Division Small Urban Construction								
Local Government Participation	627,750.00	(4,641.13)	623,108.87	35,358.87	35,358.87	-	62,500.00	587,750.00
Property Owners Participation	-	-	-	-	-	-	-	-
Division Small Urban Construction	627,750.00	(4,641.13)	623,108.87	35,358.87	35,358.87	-	62,500.00	587,750.00
Economic Development								
Local Government Match Statewide	35,000.00	-	35,000.00	-	-	-	-	35,000.00
Property Owners Participation	-	-	-	-	-	-	-	-
Economic Development	35,000.00	-	35,000.00	-	-	-	-	35,000.00
Bridge Program								
Local Government Match Statewide	3,613,806.00	464,791.00	4,078,597.00	200,000.00	200,000.00	-	-	3,878,597.00
Property Owners Participation	144,495.63	-	144,495.63	-	-	-	-	144,495.63
Bridge Program	3,758,301.63	464,791.00	4,223,092.63	200,000.00	200,000.00	-	-	4,023,092.63
Total Participation Revenues	1,669,906,120.19	(94,742,291.58)	1,575,163,828.61	143,804,592.69	222,931,959.33	111,625,069.68	186,854,414.24	1,352,231,869.28

Exhibit C page 1 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
Current Accounts								
Central Administration	-	94,615,040.00	-	6,772,999.88	(46,660,946.41)	94,615,040.00	141,275,986.41	46,660,946.41
Division of Highway Administration	-	1,697,825.00	-	78,487.58	212,320.06	1,697,825.00	1,485,504.94	(212,320.06)
Division of Motor Vehicle	-	126,068,999.00	-	10,388,650.59	16,816,492.22	126,068,999.00	109,252,506.78	(16,816,492.22)
Operations Administration	-	32,081,183.00	-	488,058.23	4,287,412.77	32,081,183.00	27,793,770.23	(4,287,412.77)
Field Operations	-	-	-	(57,501,029.82)	(57,885,985.27)	-	57,885,985.27	57,885,985.27
Reserves for Other Agencies	-	47,498,976.00	7,269,601.62	7,290,434.95	7,290,434.95	40,229,374.38	40,208,541.05	(20,833.33)
Department of Agriculture Gas Inspection Service	-	5,234,304.00	870,614.00	870,614.00	870,614.00	4,363,690.00	4,363,690.00	-
DENR - LUST Trust Fund	-	-	-	-	-	-	-	-
Department of Revenue - Gas Tax	-	5,003,014.00	265,810.44	265,810.44	265,810.44	4,737,203.56	4,737,203.56	-
Health and Human Services - Chemical Test	-	569,753.00	94,634.00	94,634.00	94,634.00	475,119.00	475,119.00	-
Highway Patrol	-	-	-	-	-	-	-	-
DPI - Driver Training	-	-	-	-	-	-	-	-
Sales Tax Exempt	-	-	-	-	-	-	-	-
AirCargo Authority	-	750,000.00	104,166.67	125,000.00	125,000.00	645,833.33	625,000.00	(20,833.33)
State Fire Protection Grant Fund	-	158,000.00	-	-	-	158,000.00	158,000.00	-
CCPS - DMV Enforcement	-	-	-	-	-	-	-	-
OSBM - Civil Penalty	-	-	-	-	-	-	-	-
Department of Revenue - IRP Auditors	-	229,457.00	13,629.88	13,629.88	13,629.88	215,827.12	215,827.12	-
OSC-BEST Shared Services	-	497,632.00	82,762.00	82,762.00	82,762.00	414,870.00	414,870.00	-
Transfer to General Fund - State Highway Patrol	-	-	-	-	-	-	-	-
Governor's Office	-	-	-	-	-	-	-	-
State Ethics Commission	-	56,816.00	4,650.63	4,650.63	4,650.63	52,165.37	52,165.37	-
State Ports Authority	-	35,000,000.00	5,833,334.00	5,833,334.00	5,833,334.00	29,166,666.00	29,166,666.00	-
Reserves	-	554,464,594.62	-	53,559,657.04	53,559,657.04	554,464,594.62	500,904,937.58	(53,559,657.04)
Internal Orders *	-	-	-	(51,857,615.52)	(37,347,793.67)	-	37,347,793.67	37,347,793.67
CO Internal Orders	-	-	-	(4,497,155.83)	9,807,791.38	-	(9,807,791.38)	(9,807,791.38)
DOT Plant Maintenance Orders	-	-	-	(214,475.38)	9,586.18	-	(9,586.18)	(9,586.18)
ECO Enhancement Program	-	-	-	(47,184,673.55)	(47,174,463.43)	-	47,174,463.43	47,174,463.43
ROW Air Space	-	-	-	27,044.53	(2,352.51)	-	2,352.51	2,352.51
Facility Maintenance Orders	-	-	-	-	-	-	-	-
Transportation Mobility & Safety Division Orders	-	-	-	11,644.71	11,644.71	-	(11,644.71)	(11,644.71)
Quality Enhancement Orders	-	-	-	-	-	-	-	-
Debt Service - GARVEE	-	-	-	-	-	-	-	-
Performance Energy Debt	-	92,495.00	-	92,495.00	51,937.00	92,495.00	40,558.00	(51,937.00)
TOTAL CURRENT ACCOUNTS	-	856,519,112.62	7,269,601.62	(30,687,862.07)	(59,676,471.31)	849,249,511.00	916,195,583.93	66,946,072.93

* All previous years' activity for Internal Orders is reported on Exhibit I

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit C page 2 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
Encumbrance Accounts								
Primary Construction	5,559,027.43	2,543,738.67	152,760.00	112,855.23	464,512.12	2,390,978.67	7,638,253.98	5,247,275.31
Secondary Construction	42,597,341.84	11,727,105.99	(685,320.63)	2,628,143.87	4,453,020.07	12,412,426.62	49,871,427.76	37,459,001.14
Urban Construction	124,995.39	-	-	-	-	-	124,995.39	124,995.39
Public Service Roads	2,527,656.73	1,723,707.00	58,262.19	267,573.57	328,724.00	1,665,444.81	3,922,639.73	2,257,194.92
Bridge Replacement	(112,370.74)	439,088.15	-	-	-	439,088.15	326,717.41	(112,370.74)
State Funds for Construction	-	17,050.07	-	-	-	17,050.07	17,050.07	-
Spot Safety	17,362,593.24	12,134,000.00	667,264.93	1,140,672.14	1,575,544.37	11,466,735.07	27,921,048.87	16,454,313.80
Contingency	18,288,921.64	12,052,931.04	1,813,442.65	801,462.09	1,484,403.06	10,239,488.39	28,857,449.61	18,617,961.22
Primary Maintenance	55,303,933.94	43,836,802.66	9,051,394.32	22,510,272.37	36,127,167.32	34,785,408.34	63,013,569.28	28,228,160.94
Secondary Maintenance	53,796,244.03	25,890,504.30	1,315,407.96	29,552,922.29	53,538,345.29	24,575,096.34	26,148,403.04	1,573,306.70
Contract Resurfacing	303,997,315.77	436,283,661.05	992,883.42	51,051,443.86	102,854,847.90	435,290,777.63	637,426,128.91	202,135,351.28
Ferry Operations	8,068,020.77	49,919,431.00	38,692,095.84	4,006,631.77	7,163,425.75	11,227,335.16	50,824,026.02	39,596,690.86
Capital Improvements	51,604,341.69	9,916,762.58	(4,001.42)	347,688.91	630,730.17	9,920,764.00	60,890,374.10	50,969,610.10
FHWA Construction	2,614,559,313.21	1,650,549,929.33	137,462,417.18	151,403,550.15	253,350,436.58	1,513,087,512.15	4,011,758,805.96	2,498,671,293.81
Governor's Highway Safety	12,885,516.87	26,135,416.60	239,525.00	1,661,729.75	3,293,925.55	25,895,891.60	35,727,007.92	9,831,116.32
Railroad Program	214,003,206.24	44,067,175.41	1,460,400.21	14,009,818.69	25,534,834.04	42,606,775.20	232,535,547.60	189,928,772.40
Airports Program	91,376,055.11	87,065,578.83	11,148,375.43	2,907,788.01	6,324,262.62	75,917,203.40	172,117,371.32	96,200,167.92
Public Transportation	151,928,671.97	126,975,965.72	14,567,955.99	8,267,628.55	12,785,145.08	112,408,009.73	266,119,492.61	153,711,482.88
OSHA Program	270,362.71	359,221.93	204,382.23	60,750.19	95,553.84	154,839.70	534,030.80	379,191.10
Non-System Streets	2,957,497.72	20,828.82	20,828.82	430,546.50	688,505.62	-	2,289,820.92	2,289,820.92
Motor Carrier Safety	13,916,047.95	4,552,864.43	312,765.00	3,448.30	191,109.69	4,240,099.43	18,277,802.69	14,037,703.26
FEMA	(34,946,922.30)	32,106.42	-	(14,992.54)	60,765.38	32,106.42	(34,975,581.26)	(35,007,687.68)
State Aid to Municipalities	271,189.57	147,500,000.00	-	-	-	147,500,000.00	147,771,189.57	271,189.57
Division Small Urban Construction	12,523,599.69	2,309,670.82	(295,037.56)	948,702.36	1,213,290.94	2,604,708.38	13,619,979.57	11,015,271.19
Economic Development	9,131,330.25	4,731,171.00	(82,421.94)	451,585.55	651,928.96	4,813,592.94	13,210,572.30	8,396,979.36
Bridge Program	158,039,798.53	303,463,950.18	10,652,212.95	21,997,413.66	39,647,922.61	292,811,737.23	421,855,826.10	129,044,088.87
Program Funding Unit	12,465.12	-	-	-	-	-	12,465.12	12,465.12
Pavement Preservation	46,047,974.16	85,661,713.04	(612,232.87)	9,316,076.76	20,198,156.60	86,273,945.91	111,511,530.60	25,237,584.69
Conversion	(0.01)	-	-	-	-	-	(0.01)	(0.01)
Total Encumbrance Accounts	3,852,094,128.52	3,089,910,375.04	227,133,359.70	323,863,712.02	572,656,557.57	2,862,777,015.34	6,369,347,946.00	3,506,570,930.66
Total Highway Fund	3,852,094,128.52	3,946,429,487.66	234,402,961.32	293,175,849.95	512,980,086.26	3,712,026,526.34	7,285,543,529.93	3,573,517,003.59

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit C page 3 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Previous Year			Current Year			Compared to Previous		
	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures
Current Accounts									
Central Administration	91,079,715.00	6,878,269.21	(24,711,216.69)	94,615,040.00	6,772,999.88	(46,660,946.41)	3,535,325.00	(105,269.33)	(21,949,729.72)
Division of Highway Administration	1,638,960.00	77,759.56	176,602.54	1,697,825.00	78,487.58	212,320.06	58,865.00	728.02	35,717.52
Division of Motor Vehicle	120,253,551.00	9,303,621.11	15,708,718.69	126,068,999.00	10,388,650.59	16,816,492.22	5,815,448.00	1,085,029.48	1,107,773.53
Operations Administration	31,843,272.00	2,201,476.35	4,680,758.98	32,081,183.00	488,058.23	4,287,412.77	237,911.00	(1,713,418.12)	(393,346.21)
Field Operations	-	(58,750,544.33)	(60,854,397.33)	-	(57,501,029.82)	(57,885,985.27)	-	1,249,514.51	2,968,412.06
Reserves for Other Agencies	228,359,019.00	890,475.46	1,478,327.46	47,498,976.00	7,290,434.95	7,290,434.95	(180,860,043.00)	6,399,959.49	5,812,107.49
Department of Agriculture Gas Inspection Services	5,218,407.00	434,867.00	869,734.00	5,234,304.00	870,614.00	870,614.00	15,897.00	435,747.00	880.00
DENR - LUST Trust Fund	-	-	-	-	-	-	-	-	-
Department of Revenue - Gas Tax	4,989,361.00	292,711.49	292,711.49	5,003,014.00	265,810.44	265,810.44	13,653.00	(26,901.05)	(26,901.05)
Health and Human Services - Chemical Test	589,255.00	49,104.00	98,208.00	569,753.00	94,634.00	94,634.00	(19,502.00)	45,530.00	(3,574.00)
Highway Patrol	-	-	-	-	-	-	-	-	-
DPI - Driver Training	-	-	-	-	-	-	-	-	-
Sales Tax Exempt	19,288,738.00	-	-	-	-	-	(19,288,738.00)	-	-
AirCargo Authority	750,000.00	62,500.00	125,000.00	750,000.00	125,000.00	125,000.00	-	62,500.00	-
State Fire Protection Grant Fund	158,000.00	-	-	158,000.00	-	-	-	-	-
CCPS - DMV Enforcement	-	-	-	-	-	-	-	-	-
OSBM - Civil Penalty	-	-	-	-	-	-	-	-	-
Department of Revenue - IRP Auditors	229,020.00	9,911.97	9,911.97	229,457.00	13,629.88	13,629.88	437.00	3,717.91	3,717.91
OSC-BEST Shared Services	496,578.00	41,381.00	82,762.00	497,632.00	82,762.00	82,762.00	1,054.00	41,381.00	-
Transfer to General Fund - State Highway Patrol	196,582,981.00	-	-	-	-	-	(196,582,981.00)	-	-
Governor's Office	-	-	-	-	-	-	-	-	-
State Ethics Commission	56,679.00	-	-	56,816.00	4,650.63	4,650.63	137.00	4,650.63	4,650.63
State Ports Authority	-	-	-	35,000,000.00	5,833,334.00	5,833,334.00	35,000,000.00	5,833,334.00	5,833,334.00
Reserves	134,244,044.47	55,992,742.66	55,992,742.66	554,464,594.62	53,559,657.04	53,559,657.04	420,220,550.15	(2,433,085.62)	(2,433,085.62)
Internal Orders	-	(25,015,401.44)	(22,607,370.33)	-	(51,857,615.52)	(37,347,793.67)	-	(26,842,214.08)	(14,740,423.34)
CO Internal Orders	-	(3,069,556.23)	(662,967.58)	-	(4,497,155.83)	9,807,791.38	-	(1,427,599.60)	10,470,758.96
DOT Plant Maintenance Orders	-	15,785.51	108,912.16	-	(214,475.38)	9,586.18	-	(230,260.89)	(99,325.98)
ECO Enhancement Program	-	(21,183,523.15)	(21,163,940.92)	-	(47,184,673.55)	(47,174,463.43)	-	(26,001,150.40)	(26,010,522.51)
ROW Air Space	-	(782,747.11)	(893,069.99)	-	27,044.53	(2,352.51)	-	809,791.64	890,717.48
Facility Maintenance Orders	-	-	-	-	-	-	-	-	-
Transportation Mobility & Safety Division Orders	-	3,952.84	3,009.30	-	11,644.71	11,644.71	-	7,691.87	8,635.41
Quality Enhancement Orders	-	686.70	686.70	-	-	-	-	(686.70)	(686.70)
Debt Services - GARVEE	-	-	-	-	-	-	-	-	-
Performance Energy Debt	92,691.00	92,691.00	52,132.00	92,495.00	92,495.00	51,937.00	(196.00)	(196.00)	(195.00)
Total Current Accounts	607,511,252.47	(8,328,910.42)	(30,083,702.02)	856,519,112.62	(30,687,862.07)	(59,676,471.31)	249,007,860.15	(22,358,951.65)	(29,592,769.29)

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit C page 4 of 4 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Previous Year			Current Year			Compared to Previous		
	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures	Appropriations	Current Month Expenditures	YTD Expenditures
Encumbrance Accounts									
Primary Construction	1,907,493.22	569,828.08	930,672.60	2,543,738.67	112,855.23	464,512.12	636,245.45	(456,972.85)	(466,160.48)
Secondary Construction	(1,111,560.98)	2,538,262.36	6,155,238.65	11,727,105.99	2,628,143.87	4,453,020.07	12,838,666.97	89,881.51	(1,702,218.58)
Urban Construction	(116,778.06)	12,395.37	17,161.46	-	-	-	116,778.06	(12,395.37)	(17,161.46)
Public Service Roads	(23,546.60)	22,242.09	39,830.82	1,723,707.00	267,573.57	328,724.00	1,747,253.60	245,331.48	288,893.18
Bridge Replacement	439,088.15	-	-	439,088.15	-	-	-	-	-
State Funds for Construction	19,148.29	-	-	17,050.07	-	-	(2,098.22)	-	-
Spot Safety	57,245.40	2,012,189.95	3,457,170.23	12,134,000.00	1,140,672.14	1,575,544.37	12,076,754.60	(871,517.82)	(1,881,625.86)
Contingency	33,509.21	1,092,577.97	1,798,388.89	12,052,931.04	801,462.09	1,484,403.06	12,019,421.83	(291,115.89)	(313,985.82)
Primary Maintenance	42,740,440.44	16,508,729.22	32,750,168.24	43,836,802.66	22,510,272.37	36,127,167.32	1,096,362.22	6,001,543.14	3,376,999.08
Secondary Maintenance	28,038,414.02	29,321,374.85	51,833,285.16	25,890,504.30	29,552,922.29	53,538,345.29	(2,147,909.72)	231,547.44	1,705,060.13
Contract Resurfacing	59,028,398.99	53,664,581.14	99,803,280.71	436,283,661.05	51,051,443.86	102,854,847.90	377,255,262.06	(2,613,137.28)	3,051,567.19
Ferry Operations	-	4,773,533.77	8,040,372.03	49,919,431.00	4,006,631.77	7,163,425.75	49,919,431.00	(766,902.00)	(876,946.28)
Capital Improvements	3,051,062.58	476,959.23	1,296,974.55	9,916,762.58	347,688.91	630,730.17	6,865,700.00	(129,270.32)	(666,244.38)
FHWA Construction	1,901,311,895.52	127,651,889.53	232,204,999.27	1,650,549,929.33	151,403,550.15	253,350,436.58	(250,761,966.19)	23,751,660.62	21,145,437.31
Governors' Highway Safety	22,256,173.58	1,438,054.85	3,536,103.69	26,135,416.60	1,661,729.75	3,293,925.55	3,879,243.02	223,674.90	(242,178.14)
Railroad Program	651,378.55	34,993,481.25	56,368,614.19	44,067,175.41	14,009,818.69	25,534,834.04	43,415,796.86	(20,983,662.56)	(30,833,780.15)
Airports Program	55,895,659.08	1,454,021.78	3,188,933.02	87,065,578.83	2,907,788.01	6,324,262.62	31,169,919.75	1,453,766.23	3,135,329.60
Public Transportation	39,631,611.42	6,613,671.91	13,950,894.58	126,975,965.72	8,267,628.55	12,785,145.08	87,344,354.30	1,653,956.64	(1,165,749.50)
OSHA Program	(77,470.19)	48,906.37	78,100.99	359,221.93	60,750.19	95,553.84	436,692.12	11,843.82	17,452.85
Non - System Streets	475,246.40	1,188,144.52	1,964,496.76	20,828.82	430,546.50	688,505.62	(454,417.58)	(757,598.02)	(1,275,991.14)
Motor Carrier Safety	5,131,923.94	(100,886.30)	1,252,772.72	4,552,864.43	3,448.30	191,109.69	(579,059.51)	104,334.60	(1,061,663.03)
FEMA	1.17	152,065.30	243,877.86	32,106.42	(14,992.54)	60,765.38	32,105.25	(167,057.84)	(183,112.48)
State Aid to Municipalities	237,866.72	-	-	147,500,000.00	-	-	147,262,133.28	-	-
Division Small Urban Construction	(1,098,802.68)	615,966.49	1,572,133.38	2,309,670.82	948,702.36	1,213,290.94	3,408,473.50	332,735.88	(358,842.44)
Economic Development	(27,675.10)	538,886.83	605,135.46	4,731,171.00	451,585.55	651,928.96	4,758,846.10	(87,301.27)	46,793.49
Bridge Program	48,597,231.97	16,718,302.57	34,554,484.18	303,463,950.18	21,997,413.66	39,647,922.61	254,866,718.21	5,279,111.09	5,093,438.43
Program Finding Unit	-	-	-	-	-	-	-	-	-
Pavement Preservation	2,771,245.82	9,637,616.65	19,676,286.76	85,661,713.04	9,316,076.76	20,198,156.60	82,890,467.22	(321,539.88)	521,869.84
Total Encumbrance Accounts	2,209,819,200.84	311,942,795.78	575,319,376.21	3,089,910,375.04	323,863,712.02	572,656,557.57	880,091,174.18	11,920,916.25	(2,662,818.64)
Total Highway Fund	2,817,330,453.31	303,613,885.36	545,235,674.19	3,946,429,487.66	293,175,849.95	512,980,086.26	1,129,099,034.33	(10,438,035.40)	(32,255,587.93)

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit D - Statement of Cash Flow From Future Years

	2016-2017	2017-2018	2018-2019	2019-2020	TOTAL
	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract	Cash Flow Contract	
System Type					
State Maintenance	-	-	-	-	-
Contract Resurfacing	78,000,000.00	-	-	-	78,000,000.00
Total	<u>78,000,000.00</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>78,000,000.00</u>

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit E - Statement of Revenues and Expenses for Equipment Fund

	Previous Year		Current Year		Current Compared to Previous	
	Current Period	Year to Date	Current Period	Year to Date	Current Period	Year to Date
Equipment Gain (Loss) Brought Forward from Prior Year	51,241,022.62	51,241,022.62	49,215,651.54	49,215,651.54	(2,025,371.08)	(2,025,371.08)
Funds Provided During Current Year						
Miscellaneous Receipts	98,861.31	1,872,279.55	1,953,436.51	2,081,474.49	1,854,575.20	209,194.94
Outside Agency Revenue	769,263.17	1,660,427.25	535,163.64	1,051,214.27	(234,099.53)	(609,212.98)
Equipment Rental Recovery	15,228,857.51	27,959,328.51	15,268,562.23	27,054,837.31	39,704.72	(904,491.20)
Total Funds Provided	16,096,981.99	31,492,035.31	17,757,162.38	30,187,526.07	1,660,180.39	(1,304,509.24)
Funds Applied During the Current Year						
Personnel	3,966,355.08	8,379,614.43	4,061,062.21	8,135,798.46	94,707.13	(243,815.97)
General Operating Expense	3,868,391.76	7,402,068.43	4,283,341.98	6,093,556.55	414,950.22	(1,308,511.88)
Internal Vehicle Repair Parts	18,773.81	18,918.47	20,442.04	1,010.43	1,668.23	(17,908.04)
Equipment Rent	1,153,968.15	2,623,561.06	1,457,850.39	2,631,082.18	303,882.24	7,521.12
General Supplies and Materials	265,425.42	593,402.33	277,332.60	420,144.23	11,907.18	(173,258.10)
Motor Fuel	480,721.77	948,585.95	414,682.39	783,165.12	(66,039.38)	(165,420.83)
Purchase of Heavy Equipment	3,571,103.00	6,733,658.27	3,304,382.05	7,835,189.67	(266,720.95)	1,101,531.40
Total Expenditures	13,324,738.99	26,699,808.94	13,819,093.66	25,899,946.64	494,354.67	(799,862.30)
Equipment Balance as of August 31, 2016	54,013,265.62	56,033,248.99	53,153,720.26	53,503,230.97	(859,545.36)	(2,530,018.02)

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit F - Statement of Federal Forward Funding

	Fiscal Year					TOTAL
	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021	
Federal Forward Funding						
Interstate Maintenance	151,363,587.00	-	-	-	-	151,363,587.00
National Highway System	162,360,196.00	2,895,735.00	2,895,736.00	2,895,736.00	-	171,047,403.00
Surface Transportation	531,789,698.00	-	-	-	-	531,789,698.00
Bridge Replacement	28,469,290.00	-	-	-	-	28,469,290.00
CMAQ	5,544,385.00	-	-	-	-	5,544,385.00
Planning and Research	-	-	-	-	-	-
High Priority	-	-	-	-	-	-
Highway Safety Improvement	67,874,541.00	-	-	-	-	67,874,541.00
National Highway Performance	1,204,959,780.00	85,991,678.00	59,977,143.00	55,343,543.00	24,883,981.00	1,431,156,125.00
Total	2,152,361,477.00	88,887,413.00	62,872,879.00	58,239,279.00	24,883,981.00	2,387,245,029.00

Note: Federal cash flow is converted throughout the federal fiscal year which begins October 1 and ends September 30 of each year.

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit G1 - GARVEE Bond Projects by Category

	Interstate Maintenance	National Highway System	Surface Transportation Program	National Highway Performance	Total
Fiscal Year					
2016-2017	44,132,386.00	100,419,414.00	25,299,862.00	109,561,510.00	279,413,172.00
2017-2018	26,540,592.00	43,728,463.00	6,710,532.00	37,099,127.00	114,078,714.00
2018-2019	26,881,885.00	46,011,714.00	7,661,492.00	37,099,127.00	117,654,218.00
2019-2020	4,622,336.00	44,293,676.00	3,510,538.00	37,099,127.00	89,525,677.00
2020-2021	2,749,589.00	22,497,066.00	3,465,573.00	37,099,128.00	65,811,356.00
2021-2022	2,193,699.00	7,345,575.00	3,465,573.00	37,099,128.00	50,103,975.00
2022-2023	1,096,999.00	-	1,162,502.00	37,099,128.00	39,358,629.00
2023-2024	-	-	-	37,099,116.00	37,099,116.00
2024-2025	-	-	-	36,894,127.00	36,894,127.00
2025-2026	-	-	-	11,025,687.00	11,025,687.00
2026-2027	-	-	-	557,999.00	557,999.00
2027-2028	-	-	-	557,999.00	557,999.00
2028-2029	-	-	-	557,999.00	557,999.00
2029-2030	-	-	-	557,999.00	557,999.00
2030-2031	-	-	-	-	-
Total	108,217,486.00	264,295,908.00	51,276,072.00	419,407,201.00	843,196,667.00

Note: GARVEE Federal Forward Funding is Converted as the Debt Becomes Due Based on the Debt Service Schedule.

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit G2 pg 1 of 2 - Statement of Allotments, Expenditures, and Receipts for GARVEE Bond Projects

Division	WBS	Description	Allotments**	Expenditures		Unexpended Allotments	Trustee Reimbursement		FHWA Reimbursement	
				Current Period	Inception to Date****		Current Period	Inception to Date	Current Period	Inception to Date
1	40197.3.GV1	I-95 in Northampton County, pavement rehabilitation	4,031,576.00	8,840.02	3,651,219.12	380,356.88	-	2,664,468.00	8,841.00	2,924,645.00
1	41470.3.GV1	Repair Bonner Bridge (#11) over Oregon Inlet on NC 12	21,142,774.00	65,808.59	18,415,498.63	2,727,275.37	-	11,745,207.00	65,808.00	10,621,030.00
2	34440.2.GV1	US 17 in Beaufort County	5,384,000.00	-	4,834,268.03	549,731.97	-	3,201,121.00	22,599.00	2,999,185.00
2	34414.3.GV2	US 17 New Bern Bypass in Craven County	55,484,725.00	348,091.92	51,917,488.64	3,567,236.36	-	31,118,939.00	348,092.00	24,028,732.00
3	41154.3.GV1	I-40 in Sampson County, pavement rehabilitation	12,068,426.00	23,502.27	10,035,297.38	2,033,128.62	-	7,066,882.00	23,502.00	6,861,728.00
3	34491.3.GV2	Wilmington Bypass	51,210,000.00	1,040.17	48,302,843.07	2,907,156.93	-	31,050,000.00	274,617.00	19,083,507.00
3	34491.3.GVS3	Wilmington Bypass	85,507,975.00	7,041,964.63	62,620,938.15	22,887,036.85	-	39,112,704.00	1,347,654.00	4,448,706.00
3	34491.3.GVS4	Wilmington Bypass	153,750,000.00	9,768,647.79	101,465,928.41	52,284,071.59	-	65,749,397.00	2,265,440.00	7,147,528.00
4	34461.3.GV1	* US 70 Goldsboro Bypass in Wayne County	100,718,810.00	565,635.67	85,736,317.44	14,982,492.56	-	68,152,567.00	562,033.00	53,588,795.00
4	34509.2.GV1	Rocky Mount Northern Connector in Nash County	43,854,250.00	257,228.30	42,621,820.52	1,232,429.48	-	26,887,889.00	257,229.00	19,536,326.00
4	34509.3.GV1	Rocky Mount Northern Connector in Nash County	7,295,000.00	35,694.56	11,332,825.31	(4,037,825.31)	-	4,430,000.00	34,917.00	3,975,282.00
5	36597.3.GV2	I-4744-Con-I-40	69,709,948.00	457,411.61	66,070,310.00	3,639,638.00	-	40,418,572.00	457,412.00	28,164,866.00
5	37490.3.GV1	I-85 in Vance County, pavement rehabilitation	42,597,178.00	129,406.38	37,165,039.23	5,432,138.77	-	26,669,414.00	129,406.00	25,406,840.00
5	39943.3.GV1	I-85 in Vance Co. & Warren Co. pavement rehabilitation	11,782,148.00	-	10,616,403.82	1,165,744.18	-	8,498,519.00	28,246.00	8,548,838.00
5	38722.3.GV1	I-40 in Wake County, pavement rehabilitation	14,303,553.00	28,168.66	11,987,373.44	2,316,179.56	-	8,480,654.00	27,884.00	8,609,881.00
5	34506.2.GV1	US 401 Rolesville Bypass	31,113,990.00	210,410.09	36,407,307.27	(5,293,317.27)	-	18,363,930.00	210,043.00	11,484,964.00
5	34506.3.GV2	US 401 Rolesville Bypass	34,774,656.00	297,021.30	29,855,657.19	4,918,998.81	-	9,703,570.00	94,546.00	5,613,117.00
5	42541.3.GV1	I-540 & I-40 in Wake County, pavement rehabilitation	8,734,936.00	58,575.20	8,143,908.90	591,027.10	-	5,631,130.00	58,575.00	4,934,708.00
6	38724.3.GV1	I-95 in Robeson County, pavement rehabilitation	18,726,000.00	40,987.11	18,984,916.10	(258,916.10)	-	12,312,854.00	40,259.00	13,509,621.00
6	39994.3.GV1	I-95 from Cumberland to Johnston Co. line	4,840,236.00	23,509.93	4,072,326.50	767,909.50	-	2,861,701.00	23,510.00	2,648,068.00
6	39995.3.GV1	I-95 in Robeson County, pavement rehabilitation	12,895,293.00	37,927.45	11,014,324.70	1,880,968.30	-	7,432,529.00	37,928.00	7,972,694.00
6	39996.3.GV1	I-95 from N. of 295 to Harnett Co. line	4,761,958.00	17,390.67	3,867,157.54	894,800.46	-	2,766,110.00	17,391.00	2,549,325.00
6	40222.3.GV1	I-95 in Cumberland County, pavement rehabilitation	10,791,711.00	46,366.65	9,307,812.41	1,483,898.59	-	7,985,158.00	23,193.00	6,763,994.00
7	38726.3.GV1	I-40/85 in Alamance County, pavement rehabilitation	6,233,000.00	12,218.92	5,483,848.77	749,151.23	-	3,677,110.00	12,219.00	4,028,653.00
7	38727.3.GV1	I-40/85 in Guilford County, pavement rehabilitation	11,141,183.00	26,935.21	10,650,053.99	491,129.01	-	6,502,573.00	26,935.00	6,302,978.00
7	40248.3.GV1	I-40/85 Alamance & Orange Co. pavement rehabilitation	13,633,366.00	-	13,568,174.96	65,191.04	-	9,971,405.00	33,121.00	9,808,036.00
7	34901.3.GV2	SR1311 Cook Rd. SR1309 to NC100	17,504,749.00	106,897.34	21,257,378.01	(3,752,629.01)	-	10,543,946.00	106,888.00	6,219,933.00
7	34820.3.GVS5	Greensboro Western Loop	160,046,280.00	11,064,193.67	91,706,457.32	68,339,822.68	-	57,937,664.00	1,995,882.00	6,550,990.00
8	34480.3.GV1	US 311 (Future I-74) in Randolph County	132,854,997.00	1,191.60	116,217,324.34	16,637,672.66	-	79,960,000.45	776,664.00	53,164,165.00
8	34480.2.GV1	US 311 (Future I-74) in Randolph County	15,503,733.00	-	14,033,733.50	1,469,999.50	-	9,400,000.00	93,805.00	7,259,537.00
9	33185.3.GV1	I-40 / NC 801 Interchange in Davie County	15,333,266.00	47,978.15	14,036,234.29	1,297,031.71	-	10,094,181.00	47,979.00	9,915,760.00
9	34183.3.GV1	I-40 in Davie County, pavement rehabilitation	20,874,245.00	48,479.77	19,772,711.93	1,101,533.07	-	14,574,820.00	48,480.00	14,924,146.00
9	38730.3.GV1	I-85 in Rowan County, pavement rehabilitation	4,408,913.00	-	3,699,458.58	709,454.42	-	2,904,209.00	10,910.00	3,105,116.00
9	34156.2.1GV2	I-85 in Rowan County	12,215,363.00	1,242,366.92	10,765,437.16	1,449,925.84	-	5,775,654.00	63,108.00	4,256,799.00
9	34156.2.2GV2	I-85 in Davidson County	8,771,704.00	56,429.43	8,206,174.91	565,529.09	-	4,739,443.00	55,479.00	3,598,121.00
9	34156.3.GV2	I-85 in Rowan /Davidson Counties	175,742,298.00	1,881,857.43	188,384,590.11	(12,642,292.11)	-	111,660,275.00	1,109,233.00	68,753,458.00
9	34839.3.GVS4	US 158 TO I-40 BUS/US 421/ Forsyth Co.	157,656,000.00	7,790,673.77	44,125,520.39	113,530,479.61	-	26,791,691.00	923,125.00	2,060,091.00
9	34839.2.GV18	Future I-74 From US 158 to US 311	10,536,667.00	-	-	10,536,667.00	-	-	-	-

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit G2 pg 2 of 2 - Statement of Allotments, Expenditures, and Receipts for GARVEE Bond Projects

Division	WBS	Description	Allotments**	Expenditures		Unexpended Allotments	Trustee Reimbursement		FHWA Reimbursement	
				Current Period	Inception to Date****		Current Period	Inception to Date	Current Period	Inception to Date
10	34187.3.GV2	I-85 in Mecklenburg Co. to Cabarrus	16,493,733.00	-	51,577,339.87	(35,083,606.87)	-	-	-	-
10	34379.3.GV2	Charlotte Eastern Outer Loop	17,086,742.00	-	31,805,034.59	(14,718,292.59)	-	-	-	-
10	34410.3.GV2	I-485 Charlotte Outer Loop	16,493,733.00	-	43,765,527.06	(27,271,794.06)	-	-	-	-
10	34749.2.GV2	US-74 ROW Independence Blvd.	69,364,227.00	845,483.15	113,456,101.07	(44,091,874.07)	-	38,542,169.64	695,718.00	16,356,364.00
10	34749.3.GV4	US-74 Const. Independence Blvd.	3,460,000.00	-	5,081,331.58	(1,621,331.58)	-	-	-	923,747.00
10	38732.3.GV1	I-77 in Mecklenburg County, pavement rehabilitation	3,654,756.00	7,649.29	3,129,457.43	525,298.57	-	2,302,835.00	7,649.00	2,526,243.00
10	41453.3.GV1	I-85 in Mecklenburg County, pavement rehabilitation	1,518,861.00	-	1,245,362.72	273,498.28	-	957,414.00	3,180.00	1,063,163.00
11	41452.3.GV1	I-74 in Surry County, pavement rehabilitation	6,833,930.00	15,047.04	6,674,997.61	158,932.39	-	4,529,806.00	15,047.00	4,963,413.00
11	34173.2.GV1***	I-77 in Yadkin County, pavement rehabilitation	49,945,001.00	-	51,163,261.58	(1,218,260.58)	-	33,899,579.00	112,173.00	37,070,908.00
12	34192.2.GV4	I-40/I-77 Interchange, Iredell Co.	14,928,706.00	-	28,124,919.16	(13,196,213.16)	-	-	-	-
12	38735.3.GV1	I-77 in Iredell County, pavement rehabilitation	14,261,189.00	31,314.34	12,817,416.23	1,443,772.77	-	9,427,070.00	31,314.00	9,828,143.00
12	41455.3.GV1	I-40 in Iredell County, pavement rehabilitation	28,901,746.00	-	25,568,958.34	3,332,787.66	-	19,951,104.00	145,595.00	17,337,669.00
12	41471.3.GV1	I-85 in Gaston County, pavement rehabilitation	4,453,643.00	5,445.79	2,340,625.51	2,113,017.49	-	1,638,862.00	5,445.00	1,794,857.00
12	41865.3.GV1	I-77 in Iredell County, pavement rehabilitation	5,920,000.00	40,394.82	5,475,480.93	444,519.07	-	3,832,288.00	40,395.00	3,358,896.00
13	39972.3.GV1	I-40 in McDowell County, pavement rehabilitation	15,067,671.00	71,153.09	12,311,300.01	2,756,370.99	-	8,577,951.00	71,153.00	7,661,288.00
13	42298.3.GV1	I-40 in Buncombe County, pavement rehabilitation	6,915,737.00	50,299.48	6,643,905.31	271,831.69	-	4,671,314.00	50,299.00	3,510,825.00
13	42344.3.GV1	I-40 in Buncombe County, pavement rehabilitation	9,102,844.00	58,917.03	8,245,430.87	857,413.13	-	5,531,328.00	58,917.00	4,513,184.00
14	39997.3.GV1	I-40 in Haywood County, pavement rehabilitation	19,611,954.00	49,084.69	17,370,242.41	2,241,711.59	-	12,458,220.00	47,570.00	12,520,389.00
14	41454.3.GV1	I-40 in Haywood County, pavement rehabilitation	12,083,333.00	-	11,186,289.58	897,043.42	-	8,368,723.00	27,797.00	8,786,315.00
14	41456.3.GV1	I-26 in Henderson County, pavement rehabilitation	11,190,904.00	-	11,639,487.70	(448,583.70)	-	7,920,000.00	26,284.00	7,663,420.00
TOTAL			1,895,223,617.00	42,917,639.90	1,699,956,549.62	195,267,067.38	-	959,444,950.09	13,001,489.00	621,248,987.00

*WBS created / No Funding

**Allotment Includes Estimated Debt Service Expense

***Division 11 WBS 34173.2GV1: \$4,078.00 Used Directly from Trustee for Bond Payment and not routed through DOT

****Inception to Date Expenditures shown only for those split & tagged to a funding source

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit G3 - Summary of GARVEE Bond Fund Availability and Allocations

Description	2007 Issue	2009 Issue	2012 Issue	2015 Issue	Total (All Issues)
GARVEE Bond Proceeds Available					
Bond Funds Available	242,520,000.00	287,565,000.00	179,540,000.00	264,930,000.00	974,555,000.00
Bond Premium Proceeds	20,616,093.85	12,230,868.60	25,374,003.05	35,611,227.35	93,832,192.85
Less: Total Underwriter's Discount	(1,068,396.47)	(1,451,015.71)	(598,307.30)	(776,359.86)	(3,894,079.34)
Less: Issuance Costs	(502,711.56)	(702,516.87)	(407,247.62)	(562,011.27)	(2,174,487.32)
Total Bond Proceeds Available	261,564,985.82	297,642,336.02	203,908,448.13	299,202,856.22	1,062,318,626.19
Adjustments to Available Funds:					
Interest from Prior Years	409,730.88	6,414,870.12	182,371.06	220,058.48	7,227,030.54
Interest from Current Year	-	-	-	48,034.12	48,034.12
Bond Management Fees	(98,617.86)	(107,800.70)	(47,629.42)	(72,401.69)	(326,449.67)
Earnings Used for Debt Service	(1,266.26)	(6,036.67)	(13.16)	-	(7,316.09)
Trustee Reimbursement (Requisitions)	(261,872,395.40)	(303,940,380.05)	(204,040,718.64)	(189,591,456.00)	(959,444,950.09)
Bank Adjustments	(2,437.18)	(2,988.72)	(2,457.97)	(444.37)	(8,328.24)
Total Adjustments to Available Funds	(261,564,985.82)	(297,642,336.02)	(203,908,448.13)	(189,396,209.46)	(952,511,979.43)
Total Funds Available	-	-	-	109,806,646.76	109,806,646.76

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit H - Statement of Revenues and Expenses for Aviation Fund

	Previous Year		Current Year		Current Compared to Previous	
	Current Period	Year to Date	Current Period	Year to Date	Current Period	Year to Date
Equipment Gain Brought Forward from Prior Year	4,751,720.04	4,751,720.04	4,344,005.50	4,344,005.50	(407,714.54)	(407,714.54)
Funds Provided During Current Year						
Miscellaneous Receipts	-	-	-	-	-	-
Equipment Rental Recovery	59,745.00	167,970.00	81,960.00	136,905.00	22,215.00	(31,065.00)
Total Funds Provided	59,745.00	167,970.00	81,960.00	136,905.00	22,215.00	(31,065.00)
Funds Applied During the Current Year						
Personnel	208.95	208.95	14,545.72	14,545.72	14,336.77	14,336.77
General Operating Expense	8,154.19	17,310.99	27,784.67	32,275.72	19,630.48	14,964.73
Supplies and Materials	65,823.19	81,528.59	36,325.55	51,334.76	(29,497.64)	(30,193.83)
Purchase of Heavy Equipment	-	-	-	-	-	-
Total Expenditures	74,186.33	99,048.53	78,655.94	98,156.20	4,469.61	(892.33)
Equipment Balance as of August 31, 2016	<u>4,737,278.71</u>	<u>4,820,641.51</u>	<u>4,347,309.56</u>	<u>4,382,754.30</u>	<u>(389,969.15)</u>	<u>(437,887.21)</u>

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit I - Statement of Comparative Internal Order Balances

	CO Internal	DOT Plant Maintenance	Eco Enhancement Program	ROW Air Space	Facility Maintenance	Transportation Mobility & Safety Division	Quality Enhancement	Totals
Fiscal Year								
2002	62,433,358.92	365,183.23	-	148,562.42	-	-	-	62,947,104.57
2004	43,577,138.50	1,129,422.29	43,969,744.88	11,831.60	-	-	-	88,688,137.27
2005	(35,568,257.72)	1,253,200.50	45,048,970.34	(157,784.46)	-	-	-	10,576,128.66
2006	(839,458.95)	(162,424.65)	35,158,553.42	(296,406.46)	-	-	-	33,860,263.36
2007	(6,595,415.21)	(170,093.32)	59,734,174.51	(966,047.82)	-	-	-	52,002,618.16
2008	(16,863,793.66)	(373,786.25)	(123,925,720.95)	(2,217,139.58)	-	-	-	(143,380,440.44)
2009	(18,937,296.75)	(1,729,324.01)	33,617,961.18	(1,181,155.72)	-	-	-	11,770,184.70
2010	(36,228,248.89)	640,817.27	(47,105,788.80)	(359,428.14)	-	847.86	-	(83,051,800.70)
2011	31,096,976.79	823,469.02	(22,553,617.18)	(453,884.45)	2,039.38	4,045.50	3,168.67	8,922,197.73
2012	23,700,326.56	(454,172.17)	18,135,334.28	(624,241.97)	523.81	(3,945.15)	1,327.28	40,755,152.64
2013	(7,019,828.28)	249,726.53	21,205,936.13	(675,307.02)	(2,563.19)	(1,743.51)	(4,737.87)	13,751,482.79
2014	(28,662,217.20)	270,570.54	(10,393,287.18)	(441,031.13)	-	795.30	241.92	(39,224,927.75)
2015	(12,806,153.33)	(1,077,417.21)	6,332,150.92	(1,220,035.90)	22,221.90	55,199.60	4,212.68	(8,689,821.34)
2016	12,487,152.46	56,734.78	(18,903,876.15)	(1,613,143.77)	732.96	2,927.01	-	(7,969,472.71)
2017	9,807,791.38	9,586.18	(47,174,463.43)	(2,352.51)	-	11,644.71	-	(37,347,793.67)
Life to Date Expenditures	19,582,074.62	831,492.73	(6,853,928.03)	(10,047,564.91)	22,954.86	69,771.32	4,212.68	3,609,013.27

North Carolina Department of Transportation
Highway Fund
Period Ending August 31, 2016

Exhibit J - Statement of Allotments, Expenditures and Receipts American Recovery and Reinvestment Act (ARRA) Projects

FHWA Program Code	Description	Allotments Federal Funding	Expenditures		Unexpended Allotments	FHWA Reimbursement	
			Current Period	Inception to Date		Current Period	Inception to Date
Highway Infrastructure Investment Grants							
C200 /C201	ARRA Areas < 200K Population	127,726,666.00	-	127,778,626.80	(51,960.80)	-	127,726,666.00
C220	ARRA Transportation Enhancements	21,424,048.00	-	21,372,087.24	51,960.76	-	21,424,048.00
C230A	ARRA Urbanized Areas > 200K Population - City of Asheville	5,810,122.00	-	5,810,122.01	(0.01)	-	5,810,122.00
C230C	ARRA Urbanized Areas > 200K Population - City of Charlotte	20,091,028.00	-	20,091,028.00	-	-	20,091,028.00
C230D	ARRA Urbanized Areas > 200K Population - City of Durham	7,613,488.00	-	7,613,488.01	(0.01)	-	7,613,488.00
C230F	ARRA Urbanized Areas > 200K Population - City of Fayetteville	7,576,151.00	-	7,576,151.00	-	-	7,576,151.00
C230G/C231G	ARRA Urbanized Areas > 200K Population - City of Greensboro	5,935,847.00	-	5,935,847.00	-	-	5,935,847.00
C230R/C231R	ARRA Urbanized Areas > 200K Population - City of Raleigh	9,886,611.00	-	9,886,611.00	-	-	9,886,611.00
C230W	ARRA Urbanized Areas > 200K Population - City of Winston Salem	8,204,518.00	-	8,204,518.00	-	-	8,204,518.00
C240/C241	ARRA Available for all Areas (FLEX)	492,681,844.00	-	492,681,843.96	0.04	-	492,681,844.00
C250/C251	ARRA Rural Areas < 5K Population	19,995,644.00	-	19,995,644.01	(0.01)	-	19,995,644.00
CTDG	ARRA STP TIGER Grant	10,000,000.00	-	10,000,000.00	-	-	10,000,000.00
	ARRA TOTAL - Highway Infrastructure Grants	736,945,967.00	-	736,945,967.03	(0.03)	-	736,945,967.00
	ARRA - Federal Transit Administration (FTA) Section 5311 Nonurbanized Area Formula Program	32,463,986.00	-	32,463,985.99	0.01	-	32,463,986.00
	ARRA - Federal Rail Administration (FRA) Capital Assistance for High Speed Rail	550,552,481.70	11,583,398.65	433,073,513.80	117,478,967.90	6,619,084.00	421,409,456.00



**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

**Fiscal Year 2017
For Period Ending August 31, 2016
Highway Trust Fund**



North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit A - Balance Sheet

Assets:

Cash

Cash in Bank - Loans, Postage, Other Accounts	-	
State Treasurer Bank Balance	1,404,872,691.26	
Total Cash		1,404,872,691.26

Current Assets

Accounts Receivable	681,325.74	
Due From Other Funds	74,926,494.55	
Other Receivables	41,818.44	
Other Current Assets	-	
Total Current Assets		75,649,638.73

Fixed Assets

Total Assets

1,480,522,329.99

Liabilities, Retained Earning & Fund Balance:

Liabilities

Accounts Payable	1,626,039.20	
Intragovernmental Payable	13,828,741.98	
Due to Other Funds	69,203.62	
Other Liabilities	2,955,191.38	
Total Liabilities		18,479,176.18

Retained Earnings

Retained Earnings 1,313,811,994.57

Fund Balance

Opening Fund Balance		142,484,729.25
Revenues	253,617,890.66	
Expenditures	(247,871,460.67)	
Change in Fund Balance		5,746,429.99

Total Fund Balance 148,231,159.24

Total Liabilities, Retained Earnings and Fund Balance 1,480,522,329.99

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit B1 Pg 1 - Statement of Fees, Taxes and Other

	Current Year		Previous Year		Estimate		Current Year Compared to Estimate
	Month	Year to date	Month	Year to Date	Year Total	Year to Date	
Motor Vehicle Revenue							
Title Fee	11,326,102.92	21,227,107.96	7,617,387.24	15,639,722.61	123,247,000.00	18,201,497.67	3,025,610.29
Lien Recording	384,120.50	692,667.50	288,585.00	568,955.00	6,009,000.00	881,467.02	(188,799.52)
Gasoline Tax	46,241,311.10	94,671,573.52	42,441,927.12	86,975,080.11	517,178,000.00	80,092,012.63	14,579,560.89
Gasoline Tax - Lust Fund	608,199.50	1,243,253.26	593,277.46	1,220,504.54	-	-	1,243,253.26
Gasoline Tax Lust Fund Transferred Out	(608,199.50)	(1,243,253.26)	(593,277.46)	(1,220,504.54)	-	-	(1,243,253.26)
Motor Fuel Tax GF Inspection Tax	33,870.39	69,188.59	26,666.98	55,721.17	-	-	69,188.59
Motor Fuel Tax GF Inspection Transferred Out	(33,870.39)	(69,188.59)	(26,666.98)	(55,721.17)	-	-	(69,188.59)
Highway Use Tax	72,230,265.87	131,717,553.91	60,730,613.14	122,081,387.29	706,600,000.00	118,309,795.88	13,407,758.03
Miscellaneous Registration Fees	1,440,985.31	2,700,917.58	1,038,351.88	2,134,392.46	16,246,000.00	2,462,633.75	238,283.83
Interest on Funds Invested by Treasurer	1,193,628.02	1,193,628.02	587,470.94	587,470.94	2,000,000.00	130,300.70	1,063,327.32
DMV - Visitor Center Reserve	-	-	100,145.50	205,639.50	-	-	-
Total Motor Vehicle Revenue	132,816,413.72	252,203,448.49	112,804,480.82	228,192,647.91	1,371,280,000.00	220,077,707.66	32,125,740.83
Miscellaneous Transfers In/Out							
Transfers In/Out	(322,730.87)	(405,696.94)	(1,657,650.34)	10,897,743.37	-	-	(405,696.94)
Advanced Interest from Turnpike	16,224.37	31,721.97	10,337.80	19,638.49	-	-	31,721.97
Total Miscellaneous Revenue	(306,506.50)	(373,974.97)	(1,647,312.54)	10,917,381.86	-	-	(373,974.97)
Total Net Revenue	132,509,907.22	251,829,473.52	111,157,168.28	239,110,029.77	1,371,280,000.00	220,077,707.66	31,751,765.86

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit B1 Pg 2 - Statement of Fees, Taxes and Others

	Current Month				Year to Date			
	Gross	Refunds	Uncollectibles	Net Revenue	Gross	Refunds	Uncollectibles	Net Revenue
Motor Vehicle Revenue								
Title Fee	11,327,762.92	1,318.00	342.00	11,326,102.92	21,231,192.96	3,170.00	915.00	21,227,107.96
Lien Recording	384,261.50	71.00	70.00	384,120.50	692,917.50	110.00	140.00	692,667.50
Gasoline Tax	48,226,428.35	1,985,117.25	-	46,241,311.10	98,032,507.50	3,360,933.98	-	94,671,573.52
Gasoline Tax - Lust Fund	608,199.50	-	-	608,199.50	1,243,253.26	-	-	1,243,253.26
Gasoline Tax Lust Fund Transferred Out	(608,199.50)	-	-	(608,199.50)	(1,243,253.26)	-	-	(1,243,253.26)
Motor Fuel Tax GF Inspection Tax	33,870.39	-	-	33,870.39	69,188.59	-	-	69,188.59
Motor Fuel Tax GF Inspection Transferred Out	(33,870.39)	-	-	(33,870.39)	(69,188.59)	-	-	(69,188.59)
Highway Use Tax	72,278,837.26	45,785.34	2,786.05	72,230,265.87	131,827,714.83	103,629.63	6,531.29	131,717,553.91
Miscellaneous Registration Fees	1,441,629.14	658.83	(15.00)	1,440,985.31	2,703,074.34	2,197.76	(41.00)	2,700,917.58
Interest on Funds Invested by Treasurer	1,193,628.02	-	-	1,193,628.02	1,193,628.02	-	-	1,193,628.02
DMV - Visitor Center Reserve	-	-	-	-	-	-	-	-
Total Motor Vehicle Revenue	134,852,547.19	2,032,950.42	3,183.05	132,816,413.72	255,681,035.15	3,470,041.37	7,545.29	252,203,448.49
Miscellaneous Transfers In/Out								
Transfers In/Out	(322,730.87)	-	-	(322,730.87)	(405,696.94)	-	-	(405,696.94)
Advanced Interest from Turnpike	16,224.37	-	-	16,224.37	31,721.97	-	-	31,721.97
Total Miscellaneous Revenue	(306,506.50)	-	-	(306,506.50)	(373,974.97)	-	-	(373,974.97)
Total Net Revenue	134,546,040.69	2,032,950.42	3,183.05	132,509,907.22	255,307,060.18	3,470,041.37	7,545.29	251,829,473.52

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit B1 Pg 3 - Statement of Fees, Taxes and Others

	Current Month						Year to Date			
	Gross	Refunds	Uncollectibles	Net Revenue	Transfers*	Account Balance	Gross	Refunds	Uncollectibles	Net Revenue
Motor Vehicle Revenue										
Highway Use Tax**	69,424,661.67	45,785.34	2,786.05	69,376,090.28	-	69,376,090.28	128,973,539.24	103,629.63	6,531.29	128,863,378.32
Title Fee	11,395,261.92	1,324.00	342.00	11,393,595.92	-	11,393,595.92	21,339,428.96	3,179.00	915.00	21,335,334.96
Lien Recording	384,261.50	71.00	70.00	384,120.50	-	384,120.50	692,917.50	110.00	140.00	692,667.50
Registration	1,441,629.14	658.83	(15.00)	1,440,985.31	-	1,440,985.31	2,703,074.34	2,197.76	(41.00)	2,700,917.58
Visitor Center Reserve	-	-	-	-	-	-	-	-	-	-
Total Motor Vehicle Revenue	82,645,814.23	47,839.17	3,183.05	82,594,792.01	-	82,594,792.01	153,708,960.04	109,116.39	7,545.29	153,592,298.36

* Transfers that are Due to or Due From other Agencies and Special Accounts

** Net Collection for Highway Use Tax Only Includes Revenue Collected by DMV.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit B1 Pg 4 - Statement of Fees, Taxes and Others

	Current Month				Year to Date			
	Current Year	Prior Year	Increase / Decrease	Percent	Current Year	Prior Year	Increase / Decrease	Percent
Motor Vehicle Revenue								
Highway Use Tax*	69,376,090.28	57,330,320.73	12,045,769.55	21.01%	128,863,378.32	118,681,094.88	10,182,283.44	8.58%
Title Fee	11,393,595.92	7,617,387.24	3,776,208.68	49.57%	21,335,334.96	15,639,722.61	5,695,612.35	36.42%
Lien Recording	384,120.50	288,585.00	95,535.50	33.10%	692,667.50	568,955.00	123,712.50	21.74%
Registration	1,440,985.31	1,038,351.88	402,633.43	38.78%	2,700,917.58	2,134,392.46	566,525.12	26.54%
Visitor Center Reserve	-	100,145.50	(100,145.50)	(1.00)	-	205,639.50	(205,639.50)	-100.00%
Total Motor Vehicle Revenue	82,594,792.01	66,374,790.35	16,220,001.66	24.44%	153,592,298.36	137,229,804.45	16,362,493.91	11.92%

* Net Collection for Highway Use Tax Only Includes Revenue Collected by DMV.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit B2 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Actual Revenue Current Year		Actual Revenue Prior Year		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Intrastate-HTF								
Local Government Participation	1,224,374.61	45,809.79	1,270,184.40	-	140,986.46	-	-	1,129,197.94
Intrastate-HTF	1,224,374.61	45,809.79	1,270,184.40	-	140,986.46	-	-	1,129,197.94
Secondary Construction-HTF								
Local Government Participation	-	-	-	-	-	-	-	-
Property Owners Participation	-	-	-	-	-	-	-	-
Secondary Construction-HTF	-	-	-	-	-	-	-	-
Moving Ahead Construction								
Local Government Participation	450,651.17	242,929.70	693,580.87	457,929.70	457,929.70	-	-	235,651.17
Moving Ahead Construction	450,651.17	242,929.70	693,580.87	457,929.70	457,929.70	-	-	235,651.17
Construction Statewide Urban								
Property Owners Participation	-	-	-	-	-	-	-	-
Construction Statewide Urban	-	-	-	-	-	-	-	-
NC Mobility Fund								
Property Owners Participation	7,624,435.00	-	7,624,435.00	-	-	-	-	7,624,435.00
NC Mobility Fund	7,624,435.00	-	7,624,435.00	-	-	-	-	7,624,435.00
Strategic Prioritization								
Local Government Participation	14,850,920.61	-	14,850,920.61	-	-	-	-	14,850,920.61
Property Owners Participation	654,073.08	-	654,073.08	-	-	768,364.00	768,364.00	654,073.08
Strategic Prioritization	15,504,993.69	-	15,504,993.69	-	-	768,364.00	768,364.00	15,504,993.69
Total Participation Revenues	24,804,454.47	288,739.49	25,093,193.96	457,929.70	598,916.16	768,364.00	768,364.00	24,494,277.80

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit C Pg 1 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
Current Accounts								
Program Administration	-	35,064,813.00	-	5,331,763.85	5,354,779.07	35,064,813.00	29,710,033.93	(5,354,779.07)
Debt Service	-	61,012,229.00	-	-	-	61,012,229.00	61,012,229.00	-
Transfer to Turnpike Authority	-	64,000,000.00	-	12,250,000.00	12,250,000.00	64,000,000.00	51,750,000.00	(12,250,000.00)
Transfer to Highway Fund - Visitor's Center	-	400,000.00	-	-	-	400,000.00	400,000.00	-
Total Current Accounts	-	160,477,042.00	-	17,581,763.85	17,604,779.07	160,477,042.00	142,872,262.93	(17,604,779.07)
Encumbrance Accounts								
Strategic Transportation Initiative	2,002,948,798.21	1,400,745,774.87	134,907,978.24	114,105,589.26	196,738,781.38	1,265,837,796.63	3,206,955,791.70	1,941,117,995.07
Intrastate- HTF	75,989,909.67	45,809.79	(512,030.80)	5,673,424.71	10,030,997.07	557,840.59	66,004,722.39	65,446,881.80
Intrastate- 96 Bond Fund	0.01	-	-	-	-	-	0.01	0.01
Secondary Construction -HTF	36,411,637.64	4,964,931.19	(737,985.16)	2,087,338.34	3,098,483.53	5,702,916.35	38,278,085.30	32,575,168.95
Secondary Construction - 96 Bond Fund	(0.32)	-	-	0.50	(28.04)	-	27.72	27.72
Urban Loops - HTF	35,462,593.08	50,174.26	50,174.26	(441,006.00)	373,712.55	-	35,139,054.79	35,139,054.79
Urban Loops - 96 Bond	-	-	-	-	-	-	-	-
HTF Utilization-Pavement Preservation	0.04	44,529.38	-	-	-	44,529.38	44,529.42	0.04
HTF Utilization-Traffic Signalization	72,106.34	-	-	-	-	-	72,106.34	72,106.34
HTF Utilization-PE-Non-TIP Projects	(14,912.27)	972,815.75	-	(514,433.12)	(514,418.93)	972,815.75	1,472,322.41	499,506.66
HTF Utilization-Public Transportation	320.90	-	-	-	-	-	320.90	320.90
TF State Aid_Municipalities	-	-	-	-	-	-	-	-
Small Urban HTF	-	-	-	-	-	-	-	-
Moving Ahead Construction	84,030.44	242,929.70	242,929.70	-	-	-	326,960.14	326,960.14
Moving Ahead Public Transportation	1,818,614.82	142,903.21	-	24,094.46	36,721.99	142,903.21	1,924,796.04	1,781,892.83
State Funds Construction HTF	-	19,842.49	-	-	-	19,842.49	19,842.49	-
Construction Primary HTF	2,942,129.62	-	-	269,465.27	398,259.44	-	2,543,870.18	2,543,870.18
Construction Statewide Secondary HTF	5,185,410.21	(19,842.49)	(19,842.49)	104,665.76	127,070.27	-	5,038,497.45	5,038,497.45
Construction Statewide Urban HTF	8,680,390.00	-	-	(36,431.13)	(17,359.80)	-	8,697,749.80	8,697,749.80
Bridge Replace HTF	(23,318.01)	4,329.29	-	(23,637.48)	1,046,988.80	4,329.29	(1,065,977.52)	(1,070,306.81)
Railroad Program HTF	2,191,836.77	-	-	(103,185.78)	(102,864.14)	-	2,294,700.91	2,294,700.91
FHWA State Match HTF	202,088,651.81	55,650,208.61	(1,405,009.01)	11,244,011.33	15,527,642.32	57,055,217.62	242,211,218.10	185,156,000.48
Capital Improvements HTF	1,559,095.16	49,035.56	-	134,697.88	145,715.58	49,035.56	1,462,415.14	1,413,379.58
NC Mobility Fund	56,171,824.29	58,665.25	-	1,388,639.67	2,187,461.24	58,665.25	54,043,028.30	53,984,363.05
Other	-	-	-	-	-	-	-	-
Total Encumbrance Accounts	2,431,569,118.40	1,462,972,106.86	132,526,214.74	133,913,233.67	229,077,163.26	1,330,445,892.12	3,665,464,062.00	2,335,018,169.88
Total Highway Trust Fund	2,431,569,118.40	1,623,449,148.86	132,526,214.74	151,494,997.52	246,681,942.33	1,490,922,934.12	3,808,336,324.93	2,317,413,390.81

Exhibit C Pg 2 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments and Expenditures

	Previous Year			Current Year			Compared to Previous		
	Yearly Appropriations	Month Expenditures	Year to Date Expenditures	Yearly Appropriations	Month Expenditures	Year to Date Expenditures	Appropriations	Current Month Expenditures	Year to Date Expenditures
Current Accounts									
Program Administration	35,064,813.00	2,480,386.47	5,054,624.53	35,064,813.00	5,331,763.85	5,354,779.07	-	2,851,377.38	300,154.54
Debt Service	59,614,817.00	-	-	61,012,229.00	-	-	1,397,412.00	-	-
Transfer to Turnpike Authority	64,000,000.00	-	-	64,000,000.00	12,250,000.00	12,250,000.00	-	12,250,000.00	12,250,000.00
Transfer to Highway Fund - Visitor's Center	400,000.00	-	-	400,000.00	-	-	-	-	-
Total Current Accounts	159,079,630.00	2,480,386.47	5,054,624.53	160,477,042.00	17,581,763.85	17,604,779.07	1,397,412.00	15,101,377.38	12,550,154.54
Encumbrance Accounts									
Strategic Transportation Initiative	233,851,039.11	46,462,132.00	110,501,502.03	1,400,745,774.87	114,105,589.26	196,738,781.38	1,166,894,735.76	67,643,457.26	86,237,279.35
Intrastate- HTF	-	7,738,810.30	14,899,035.21	45,809.79	5,673,424.71	10,030,997.07	45,809.79	(2,065,385.59)	(4,868,038.14)
Intrastate- 96 Bond Fund	-	-	-	-	-	-	-	-	-
Secondary Construction -HTF	9,656,267.96	3,014,226.70	6,653,720.42	4,964,931.19	2,087,338.34	3,098,483.53	(4,691,336.77)	(926,888.36)	(3,555,236.90)
Secondary Construction - 96 Bond Fund	43.50	0.00	(1,097.97)	-	0.50	(28.04)	(43.50)	0.50	1,069.93
Urban Loops - HTF	79,909.05	1,585,149.94	3,917,260.81	50,174.26	(441,006.00)	373,712.55	(29,734.79)	(2,026,155.94)	(3,543,548.26)
Urban Loops - 96 Bond	-	-	-	-	-	-	-	-	-
HTF Utilization - Pavement Preservation	-	680.89	769.63	44,529.38	-	-	44,529.38	(680.89)	(769.63)
HTF Utilization - Traffic Signalization	(238,629.30)	7,968.53	8,020.98	-	-	-	238,629.30	(7,968.53)	(8,020.98)
HTF Utilization - PE on Non TIP Projects	972,815.75	-	-	972,815.75	(514,433.12)	(514,418.93)	-	(514,433.12)	(514,418.93)
HTF Utilization - Public Transportation	-	-	-	-	-	-	-	-	-
HTF State Aid to Municipalities	-	-	-	-	-	-	-	-	-
Small Urban HTF	-	-	-	-	-	-	-	-	-
Moving Ahead Construction	-	862.24	2,718.91	242,929.70	-	-	242,929.70	(862.24)	(2,718.91)
Moving Ahead Public Transportation	-	9,403.99	18,558.05	142,903.21	24,094.46	36,721.99	142,903.21	14,690.47	18,163.94
State Funds Construction HTF	24,141.58	-	-	19,842.49	-	-	(4,299.09)	-	-
Construction Primary HTF	11,307.00	889,625.03	1,950,200.32	-	269,465.27	398,259.44	(11,307.00)	(620,159.76)	(1,551,940.88)
Construction Statewide Secondary HTF	217,609.71	1,217,678.37	1,473,338.60	(19,842.49)	104,665.76	127,070.27	(237,452.20)	(1,113,012.61)	(1,346,268.33)
Construction Statewide Urban HTF	28,611.14	87,348.02	398,523.31	-	(36,431.13)	(17,359.80)	(28,611.14)	(123,779.15)	(415,883.11)
Bridge Replacement HTF	-	(9.73)	(4,329.29)	4,329.29	(23,637.48)	1,046,988.80	4,329.29	(23,627.75)	1,051,318.09
Railroad Program HTF	-	49,632.15	58,953.63	-	(103,185.78)	(102,864.14)	-	(152,817.94)	(161,817.78)
FHWA State Match HTF	119,170,867.79	15,387,487.70	40,029,187.02	55,650,208.61	11,244,011.33	15,527,642.32	(63,520,659.18)	(4,143,476.37)	(24,501,544.70)
Capital Improvements HTF	48,935.56	27,002.25	135,945.26	49,035.56	134,697.88	145,715.58	100.00	107,695.63	9,770.32
NC Mobility Fund	58,665.25	2,020,290.30	3,066,346.00	58,665.25	1,388,639.67	2,187,461.24	-	(631,650.63)	(878,884.76)
Other	-	-	-	-	-	-	-	-	-
Total Encumbrance Accounts	363,881,584.10	78,498,288.68	183,108,652.93	1,462,972,106.86	133,913,233.67	229,077,163.26	1,099,090,522.76	55,414,945.00	45,968,510.33
Total Highway Trust Fund	522,961,214.10	80,978,675.15	188,163,277.46	1,623,449,148.86	151,494,997.52	246,681,942.33	1,100,487,934.76	70,516,322.38	58,518,664.87

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit D - Statement of Cash Flow from Future Years

	Fiscal Year				
	2016-2017	2017-2018	2018-2019	2019-2020	Total
System Type	Cash Flow Contract				
Strategic Transport Investment Statewide	-	104,675,000.00	-	-	104,675,000.00
Strategic Transport Investment Regional	-	52,025,000.00	-	-	52,025,000.00
State Construction	-	-	-	-	-
Intrastate	-	-	-	-	-
Urban Loops	-	-	-	-	-
Secondary	-	-	-	-	-
Mobility	-	-	-	-	-
Total	-	156,700,000.00	-	-	156,700,000.00

Note: These amounts will be deducted from the respective future fiscal year appropriation. These appropriations are included in either the current or a prior fiscal year and have been approved by The Board of Transportation.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit E - Statement of Transfers for Transportation Improvement Plan Projects

		Current		1990-2017
		Month	Year	Inception to Date
Unallocated At July 1, 2016			17,050.07	
Unallocated Balance July 31, 2016		17,050.07	-	
Transfers:	From Intrastate	-	-	4,394,335,830.04
	From Urban Loop	-	-	2,288,966,462.00
	From Secondary	-	-	8,633,383.69
Total Available		17,050.07	17,050.07	6,691,935,675.73
Less: Allocation By System				
	Primary	-	-	871,009,644.30
	Secondary	(19,842.49)	(19,842.49)	235,152,520.50
	Urban	-	-	903,170,118.33
	Bridge Replacement	-	-	107,961,897.30
	Rail	-	-	102,281,774.29
	State Funds Construction	-	-	12,400,000.00
	Match For Federal-Aid - HTF	-	-	3,790,350,737.54
	Match For Federal-Aid - Cash Flow	-	-	489,939,941.45
	1 DOT Funding	-	-	140,207,349.46
	Capital Improvements	-	-	35,745,964.00
Total Allocation		(19,842.49)	(19,842.49)	6,688,219,947.17
Less: Loan To Turnpike Authority		-	-	-
Participation In Turnpike Projects		-	-	3,678,836.00
Unallocated Balance August 31, 2016		36,892.56	36,892.56	36,892.56

GS 136-176(c) authorizes the Secretary of Transportation to transfer from the STI Fund to the Highway Fund to finance projects on the Transportation Improvement Program.

North Carolina Department of Transportation
Highway Trust Fund
Period Ending August 31, 2016

Exhibit F - Summary of Bond Fund Availability, Allotments and Expenditures from Inception

	Intrastate	Secondary Roads	Urban Loops	Total
1996 Construction Bond Funds				
Bond Funds Available	300,000,000.00	150,000,000.00	500,000,000.00	950,000,000.00
Participation Available	960,459.95	20,102.74	4,621,717.62	5,602,280.31
Adjustment for Bond Sale	(35,139.91)	(22,841.00)	(15,227.00)	(73,207.91)
Total Available	300,925,320.04	149,997,261.74	504,606,490.62	955,529,072.40
Total Allotted	300,925,320.04	149,997,261.74	504,606,490.62	955,529,072.40
Unallotted	-	(0.00)	-	(0.00)
Total Expended	300,925,320.03	149,997,234.02	504,606,490.62	955,529,044.67
Unexpended Allotments	0.01	27.72	0.00	27.73
Unexpended Availability	0.01	27.72	0.00	27.73



**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

**Fiscal Year 2017
Period Ending August 31, 2016
State Infrastructure Bank**



North Carolina Department of Transportation
State Infrastructure Bank
Period Ending August 31, 2016

The State Infrastructure Bank (SIB) is an investment fund that offers loans and other types of financial assistance to transportation facilities and projects that will contribute to meeting the State's transportation goals. These facilities may include highway, rail, transit, intermodal, and other types. Borrowers include any public entity. Private companies and non-profit organizations are eligible but with some restrictions.

The SIB was established in July 1997 by G.S. 136-18 and received an initial infusion of equity capital in April 1998 from which the loans will be made. Repayments of principal and interest as well as other financial assistance will be used to replenish the fund. This secondary infusion of funds into the SIB creates a revolving loan fund and permits the SIB to become a self-sustaining financial institution.

The SIB program was created through the National Highway System legislation in 1995 and was authorized under Section 350 of the National Highway System Designation Act of 1995, Public Law 104-59, 23 U.S.C.G.S. 101 note. Each state DOT may capitalize their bank with up to 10% annually of their federal gas tax funds.

North Carolina Department of Transportation
State Infrastructure Bank
Period Ending August 31, 2016

Exhibit A - Balance Sheet

Assets:

Cash

Budget Code 64202	2,270,457.09	
Budget Code 64203	519,762.61	
Budget Code 64206	91,383.44	
Budget Code 64207	347,086.88	
Total Cash	3,228,690.02	3,228,690.02

Notes Receivable (Loan Proceeds)

Halifax - North Hampton Regional Airport Authority (64206)	157,990.58	
Halifax - North Hampton Regional Airport Authority (64207)	230,402.90	
Halifax - North Hampton Regional Airport Authority (64207)	204,802.52	
Total Notes Receivable	593,196.00	593,196.00
Total Assets	3,821,886.02	3,821,886.02

Liabilities:

Fund Balance

Reserves / Unobligated Funds	3,228,690.02	
Reserves / Obligated Funds	593,196.00	
Total Fund Balance	3,821,886.02	3,821,886.02
Total Liabilities	-	-
Total Liabilities and Fund Balance	3,821,886.02	3,821,886.02

North Carolina Department of Transportation
State Infrastructure Bank
Period Ending August 31, 2016

Exhibit B - Statement of Revenues

	Receipts Brought Forward	Interest Earned	Available Cash
Revenue:			
Federal Receipts Brought Forward	1,260,000.00		
Revenue Increases:			
State Receipts Brought Forward	1,305,000.00		
Interest Earned Budget Code 64202:			
Obligated Fund		-	
Unobligated Fund		2,267,077.08	
Interest Earned Fiscal Year 2015-2016		3,380.01	
Sub Total - 64202		<u>3,380.01</u>	2,270,457.09
Interest Earned Budget Code 64203:			
Obligated Fund		-	
Unobligated Fund		518,988.84	
Interest Earned Fiscal Year 2015-2016		773.77	
Sub Total - 64203		<u>773.77</u>	519,762.61
Interest Earned Budget Code 64206:			
Obligated Fund		157,990.58	
Unobligated Fund		91,247.40	
Interest Earned Fiscal Year 2015-2016		136.04	
Sub Total - 64206		<u>136.04</u>	249,374.02
Interest Earned Budget Code 64207:			
Obligated Fund		435,205.42	
Unobligated Fund		346,570.18	
Interest Earned Fiscal Year 2015-2016		516.70	
Sub Total - 64207		<u>516.70</u>	782,292.30
Total Availability			<u><u>3,821,886.02</u></u>
Less Loan Proceeds Disbursed to the Following:			
Halifax- North Hampton Regional Airport Authority (64206)			(240,000.00)
Halifax- North Hampton Regional Airport Authority (64207)			(350,000.00)
Halifax- North Hampton Regional Airport Authority (64207)			(311,111.00)
Loan Principal Repayments			<u>307,915.00</u>
Cash Balance			<u><u>3,228,690.02</u></u>

North Carolina Department of Transportation
State Infrastructure Bank
Period Ending August 31, 2016

Exhibit C - Loan Statement

Municipality	Principal	Interest	Loan Repayment	Loan Balance
Current Loans				
Halifax- North Hampton Regional Airport Authority (64206)	240,000.00	35,579.01	82,009.42	193,569.59
Halifax- North Hampton Regional Airport Authority (64207)	350,000.00	51,886.06	119,597.10	282,288.96
Halifax- North Hampton Regional Airport Authority (64207)	311,111.00	46,120.93	106,308.48	250,923.45
Total Loans Outstanding	901,111.00	133,586.00	307,915.00	726,782.00



**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

Fiscal Year 2017
Period Ending August 31, 2016
Special Revenue Accounts



North Carolina Department of Transportation
Special Registration Plate Account
Period Ending August 31, 2016

Two Special Revenue Accounts are maintained by the State Treasurer under the auspices of The Office of the State Controller for the North Carolina Department of Transportation. Other than the collection and transfer of these revenues, these accounts are not reflected in any North Carolina Department of Transportation's records.

Beginning with Fiscal Year 1996-97, balance sheets and disbursement ledgers will be presented in the monthly financial statements along with a narrative for each of these accounts.

These accounts are:

- Special Registration Plate Account (Exhibit A)
- Collegiate and Cultural Attraction Plate Account (Exhibit B)

**North Carolina Department of Transportation
Special Registration Plate Account
Period Ending August 31, 2016**

G.S. 20-79.7 Budget Code 24261

Exhibit A

This account receives funds from the additional fees collected for special registration plates according to the following schedule:

<u>Special Plate</u>	<u>Additional Fee</u>	<u>SRPA</u>	<u>CCAPA*</u>	<u>NHTF**</u>	<u>PRTF***</u>
Historical Attraction	\$30	\$10	\$20	\$0	
State Attraction	\$30	\$10	\$20	\$0	
In-State Collegiate Insignia	\$25	\$10	\$15	\$0	
Out-of-State Collegiate Insignia	\$25	\$10	\$0	\$15	
Personalized	\$30	\$10	\$0	\$15	\$5
Special Olympics	\$25	\$10	\$15	\$0	
Olympic Games	\$25	\$10	\$15	\$0	
Wildlife Resources	\$20	\$10	\$10	\$0	
All other Special Plates	\$0-\$30	\$10	\$0-\$20	\$0-\$10	

*Collegiate and Cultural Attraction Plate Account G.S. 20-81.12

**Natural Heritage Trust Fund G.S. 113-77.7

***Parks and Recreation Trust Fund G.S. 113-44.15

Collections are credited monthly to this special account which is held by the Office of the State Controller.

Disbursements are made according to the following schedule:

1. DMV shall deduct the costs of special registration plates, including the costs of issuing, handling, and advertising the availability of the special plates.

2. \$1,300,000 is appropriated to provide operating assistance for the Visitor and Welcome Centers as follows:

US Hwy 17 in Camden County	\$92,857
US Hwy 17 in Brunswick County	\$92,857
US Hwy 441 in Macon County	\$92,857
Town of Boone, Watauga County	\$92,857
US Hwy 29 in Caswell County	\$92,857
US Hwy 70 in Carteret County	\$92,857
US Hwy 64 in Tyrrell County	\$92,857
US Hwy 221 in McDowell County	\$92,857
US Hwy 701 & NC 904 in Columbus County	\$92,857
Staton Road in Transylvania County	\$92,857
Town of Fair Bluff - US 76 & NC 904 in Columbus County	\$92,857
US 421 in Wilkes County	\$92,857
Interstate 73 and Interstate 74 in Randolph County each for 2 centers	\$92,858

3. Remaining revenue is transferred quarterly according to the following schedule:

a. 50% to the Department of Transportation for the purpose of beautification of highways other than those designated as interstate.

b. 50% to the Department of Transportation Highway Fund to be used for the Roadside Vegetation Management Program.

North Carolina Department of Transportation
Special Registration Plate Account
Period Ending August 31, 2016

Exhibit A - Balance Sheet

Assets:

Cash	1,090,937.03	
Total Assets	<u>1,090,937.03</u>	<u><u>1,090,937.03</u></u>

Liabilities:

Payables:		
Prior Year	1.10	
Current Year	<u>1,090,935.93</u>	
Total Payables		1,090,937.03
Expenditures		<u>-</u>
Total Liabilities		<u><u>1,090,937.03</u></u>

**North Carolina Department of Transportation
Special Registration Plate Account
Period Ending August 31, 2016**

Exhibit A2 - Disbursements

Payee	For	Amount
NC Department of Transportation	Reimbursement to DOT for Expenses	-
NC Department of Transportation	Reimbursement for Payments to Visitor Center	-
NC Department of Transportation	NC Department of Transportation Highway Beautification	-
NC Department of Transportation	NC Department of Transportation Roadside Vegetation Management Program	-
Vocational Rehabilitation	Special Registration Plate Fund Access Account	-
Vocational Rehabilitation	Department of Human Resources	-
General Fund Non-Tax Shortfall	Transfer to Cover Current Fiscal Year Budget Shortfall	-
Department of Commerce	Special Registration Plate Fund Access Account	-
Department of Commerce	Department of Commerce	-
Disbursements for Fiscal Year 2016-2017		<u>-</u>

**North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate Account
Period Ending August 31, 2016**

G.S. 20-81.12 Budget Code 24265

Exhibit B

This account receives funds from the additional fees collected for collegiate and cultural attraction plates according to the following schedule:

<u>Special Plate</u>	<u>Additional Fee</u>	<u>SRPA*</u>	<u>CCAPA</u>
In-State Collegiate Insignia	\$25	\$10	\$15
Historical Attraction	\$30	\$10	\$20
Special Olympics	\$25	\$10	\$15
State Attraction	\$30	\$10	\$20
Wildlife Resources	\$20	\$10	\$10
Olympic Games	\$25	\$10	\$15
Others	\$15-\$30	\$10	\$5-\$20

Collections are credited monthly to this special account which is held by the Office of the State Controller. Disbursements are made according to the following schedule:

1. **In-State Collegiate Insignia Plate** - Quarterly, the funds collected from the sale of in-State collegiate insignia plates are transferred to the colleges and universities in proportion to the number of plates sold representing that institution for use for
2. **Historical Attraction Plate** - Quarterly, the funds collected from the sale of Historical Attraction Plates are transferred in proportion to the number of plates sold representing that organization according to the following schedule:
 - a. Historical Attraction within Historic District - Funds transfer to the appropriate Historic Preservation Commission and used to maintain property in the historic district in which the attraction is located.
 - b. Nonprofit Historical Attraction - Funds transfer to the nonprofit corporation that is responsible and used to develop and
 - c. State Historic Site - Funds transfer to the Department of Cultural Resources and used to develop and operate the site for which the plate was issued.
3. **Special Olympics Plate** - Quarterly, the funds collected from the sale of Special Olympics Plates to the North Carolina Special Olympics to be used to train volunteers to assist in the statewide games and help pay the costs of the statewide games.
4. **State Attraction Plate** - Quarterly, the funds collected from the sale of State attraction plates to the organizations named below in proportion to the number of plates sold representing that organization:
 - a. North Carolina Arboretum - Funds transfer to the North Carolina Arboretum Society and used to help the Society obtain grants and for capital improvements.
 - b. North Carolina Zoological Society - Funds transfer to the North Carolina Zoological Society to be used for educational programs and conservation programs and for operating expenses at the NC Zoo.
5. **Wildlife Resources Plate** - Quarterly, the funds collected from the sale of Wildlife Resources Plates to the Wildlife Conservation Account.
6. **Olympic Games Plate** - Quarterly, the funds collected from the sale of Olympic Games Plates to the NC Health and Fitness Foundation Fitness Foundation, In. which will allocate the funds as follows:
 - a. 67% to the US Olympic Committee to assist in training olympic athletes.
 - b. 33% to North Carolina Amateur Sports to assist with administration of the State Games of NC
7. **Others** - Quarterly, the funds collected from the sale of other plates are transferred in proportion to the number of plates sold representing that organization.

North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate
Period Ending August 31, 2016

Exhibit B - Balance Sheet



Assets:

Cash	453,994.83	
Total Assets	<u>453,994.83</u>	<u>453,994.83</u>

Liabilities:

Payables:		
Prior Year	657,634.73	
Current Year	<u>556,025.10</u>	
Total Payables		1,213,659.83
Expenditures		<u>(759,665.00)</u>
Total Liabilities		<u>453,994.83</u>

**North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate
Period Ending August 31, 2016**

G.S. 20-81.12 Budget Code 24265

Exhibit B2 pg 1 of 2- Disbursements

Payee	For the Sale of:	Amount
Agriculture	Animal Lovers - Spay/Neuter	43,220.00
Agriculture	First In Forestry	5,950.00
Arts North Carolina	Arts North Carolina Plates	9,220.00
Autism Society of North America	Autism Plates	1,460.00
Blue Ridge Parkway	Blue Ridge Parkway Plates	2,710.00
Blue Ridge Parkway Motorcycle	Blue Ridge Parkway Motorcycle Plates	130,160.00
Buddy Pelletier Surfing Foundation	Buddy Pelletier Surfing Foundation Plates	8,080.00
Campbell University	Collegiate Plates	4,290.00
Carolinas Golf Association	Carolina Golf Plates	2,280.00
City of King Mountain	Battle of King Mountain Plates	1,790.00
Coastal Land Trust	Coastal Land Trust Plates	3,780.00
Core Sound Museum	Core Sound Museum Plates	100.00
Davidson College	Collegiate Plates	7,160.00
Donate Life	Donate Life Plates	1,905.00
Duke	Collegiate Plates	2,640.00
Elon University	Collegiate Plates	3,060.00
Forest Education & Conservation	First in Forestry Plates	4,965.00
Friends of State Parks	Friends of NC State Park Plates	2,445.00
Friends of The Appalachian Trail	Appalachian Trail Plates	5,950.00
Gardner Webb University	Collegiate Plates	118,240.00
Grandfather Mountain Stewardship Foundation	Save the Honey Bee Plates	33,600.00
Great Smoky Mts.	Friends to Great Smoky Mts. Natl. Park Plates	30,660.00
Guilford Battleground Company	Guilford Battleground Company Plates	1,335.00
High Point University	Collegiate Plates	37.50
Historic Lighthouse	Historic Lighthouse Plates	12,240.00
Home Care and Hospice	Home Care and Hospice Plates	2,270.00
Homes 4 NC	HOMES4NC Plates	960.00
Juvenile Diabetes Research	Juvenile Diabetes Research Plates	1,485.00
King Mountain Gateway Trail	Battle of King Mountain Plates	1,200.00
Lenoir Rhyne College	Collegiate Plates	100.00
Maritime Museum	Maritime Museum Plates	16,380.00
Meredith College	Collegiate Plates	1,395.00
Methodist University	Collegiate Plates	2,160.00
Mountains to Sea Trail	Mountains to Sea Trail Plates	975.00
NASCAR Foundation	NASCAR Plates	2,480.00
National Wild Turkey Federation	National Wild Turkey Plates	2,640.00
Native American	Native American Plates	1,485.00

**North Carolina Department of Transportation
Collegiate and Cultural Attraction Plate
Period Ending August 31, 2016**

G.S. 20-81.12 Budget Code 24265

Exhibit B2 pg 2 of 2 - Disbursements

Payee	For the Sale of:	Amount
NC Coastal Federation	NC Coastal Federation Plates	2,160.00
NC Community College	Harley Owners Grp. Plates	5,730.00
NC Department of Health and Human Services	Kids First Plates	27,360.00
NC Department of Public Safety	In God We Trust Plates	5,620.00
NC Department of Transportation	Litter Prevention Plates	1,710.00
NC Department of Transportation	Share the Road Plates	5,235.00
NC Environmental Health & Natural Resources	Scuba Plates	20,380.00
NC Horse Council	NC Horse Council Plates	440.00
NC Master Gardener	NC Master Gardener Plates	6,340.00
NC State Lodge Fraternal Police	NC Fraternal Order of Police Plates	2,700.00
NC State University Agriculture Program	Save the Honey Bee Plates	5,610.00
NC Surveyors	NC Surveyors Assoc Plates	5,100.00
NC Tennis Foundation	NC Tennis Foundation Plates	630.00
NC Trout Unlimited	Trout Unlimited Plates	2,690.00
NC Wildlife Commission	Native Brook Trout Plates	790.00
NC Wildlife Commission	Wildlife Plates	12,040.00
NC Wildlife Habitat Foundation	NC Wildlife Habitat Plates	1,635.00
NC Zoological Society	NC Zoological Plates	1,487.50
North Carolina Sheriffs Assoc	NC Sheriffs Assoc Plates	370.00
Ntl. Multiple Sclerosis Society	National Multiple Sclerosis Society Plates	2,590.00
Nurses	Nurse Plates	4,960.00
Olympic Games	Olympic Games Plates	19,710.00
Omega Psi Phi	Omega Psi Phi Plates	1,500.00
Piedmont Silver Eagles	Piedmont Airlines Silver Eagles Plates	2,780.00
Prince Hall Mason	Prince Hall Mason Plates	1,280.00
Rocky Mountain Elk	Rocky Mountain Elk Plates	6,560.00
Ronald McDonald House	Ronald McDonald House Plates	1,740.00
Save the Sea Turtle	Save the Sea Turtle Plates	1,590.00
Shag Dancing	Shag Dancing Plates	620.00
Shaw University	Collegiate Plates	1,200.00
State Capitol Foundation Inc	Retired Legislator House	40.00
State Capitol Foundation Inc	Retired Legislator Senate	20.00
Support Our Troops	Support Our Troops Plates	6,600.00
Support Soccer	NC Soccer Hall of Fame Plates	2,445.00
The V Foundation	V Foundation Plates	9,070.00
UNC Board of Trustees	Collegiate Plates	113,580.00
Wake Forest University	Collegiate Plates	4,545.00
Disbursements for Fiscal Year 2016-2017		759,665.00

Budget Code 64201

Exhibit C

The primary purpose of the U.S. Department of Justice Forfeiture Program is to deter crime by depriving criminals of the profits and proceeds of their illegal activities and to weaken criminal enterprises by removing the instrumentalities of crime. An ancillary purpose of the program is to enhance cooperation among federal, state and local law enforcement agencies through the equitable sharing of Federal Forfeiture proceeds.

Any time the Division of Motor Vehicles License and Theft Bureau directly participates in an investigation or prosecution that results in a Federal Forfeiture, they may request an equitable share of the net proceeds of the forfeiture. This amount is determined as set forth in the U.S. Department of Justice's publication "A Guide to Equitable Sharing of Federally Forfeited Property for State and Local Law Enforcement Agencies."

North Carolina Department of Transportation
Drug Forfeiture Fund
Period Ending August 31, 2016

Exhibit C1 - Balance Sheet

Assets:

Cash			
State Treasurer Bank Balance		576,845.24	
Due to other Funds		-	
Total Assets		-	576,845.24

Liabilities and Fund Balance

Accounts Payable			920,948.65
Fund Balance			-
Revenues	2,962.89		
Expenditures	(347,066.30)		
Change in Fund Balance Year to Date		(344,103.41)	
Total Fund Balance			(344,103.41)
Total Liabilities and Fund Balance			576,845.24

North Carolina Department of Transportation
Drug Forfeiture Fund
Period Ending August 31, 2016

Budget Code 64201

Exhibit C2 - Disbursements

Payee	For	Amount
Total Disbursements		-

North Carolina Department of Transportation
DMV Property Tax Account
Period Ending August 31, 2016

H.B. 1779 - Session Law 2005 - 294
Budget Code 64209

Exhibit D - DMV Property Tax Account

Signed into law on August 22, 2005, HB 1779 was created to combine Motor Vehicle Registration renewal and Property Tax Collections for the state of North Carolina. Referred to as “Tag and Tax” system, the legislation requires the Division of Motor Vehicles to collect property tax on vehicles at registration renewal annually. In FY 2014 the system was implemented and NCDMV began receiving, tracking and forwarding tax collections to each of North Carolina’s one hundred counties.

The following statements depict the status of related accounts as of current period end.

North Carolina Department of Transportation
DMV Property Tax Account
Period Ending August 31, 2016

Exhibit D - Balance Sheet

Assets:

Cash	88,588,072.56
Due from other Funds	-

Total Assets

88,588,072.56

Liabilities:

Payables:

Accounts Payable	86,246,811.93
Intragovernmental Payable	2,341,260.63

Total Liabilities

88,588,072.56

Exhibit D2 - Disbursements

Disbursement Date	Amount
July 31, 2016	79,960,084.63
August 31, 2016	86,135,012.36
September 30, 2016	-
October 31, 2016	-
November 30, 2016	-
December 31, 2016	-
January 31, 2017	-
February 28, 2017	-
March 31, 2017	-
April 30, 2017	-
May 31, 2017	-
June 30, 2017	-
Total Year to Date Disbursements to Counties	166,095,096.99

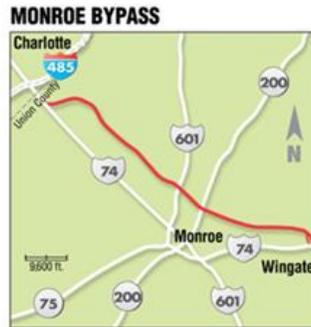


**NORTH CAROLINA
DEPARTMENT OF TRANSPORTATION
FINANCIAL STATEMENTS**

**Fiscal Year 2017
For Period Ending August 31, 2016
Turnpike Authority**



Triangle Expressway



North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit A pg 1 of 2 - Balance Sheet

Assets:

Cash

State Treasurer Bank Balance		
Toll Operations Cash STIF	8,262,457.62	
Restricted Investments (Exhibit A p2)	671,730,897.22	
Total Cash		679,993,354.84

Current Assets

Accounts Receivable	17,904,875.15	
Due From Other Funds	26,046.10	
Inventory	655,089.85	
Other Current Assets	38,108.51	
Total Current Assets		18,624,119.61

Non Current Assets

Capital Assets		79,613.20
Total Assets		698,697,087.65

Liabilities, Retained Earnings & Fund Balance:

Liabilities

Accounts Payable	3,935,662.20	
Intragovernmental Payable	48,875,040.41	
Due to Other Funds	5,781,580.44	
Purchase Orders Payable	8,112.09	
Customer Prepaid Account Balance	20,395.00	
Advance from Highway Trust Fund	26,117,655.57	
Bonds Payable	1,540,414,230.95	
TIFIA Accrued Interest Payable	57,529,160.95	
Anticipated Liquidated Damages	98,100.00	
Other Liabilities	2,912,445.20	
Total Liabilities		1,685,692,382.81

Retained Earnings

Retained Earnings		(921,027,567.66)
Investment in Property		59,698.60

Fund Balance

Revenues	25,315,508.35	
Expenditures	(91,342,934.45)	
Change in Fund Balance Year to Date		(66,027,426.10)

Total Fund Balance		(66,027,426.10)
---------------------------	--	-----------------

Total Liabilities, Retained Earnings & Fund Balance		698,697,087.65
--	--	----------------

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit A pg 2 of 2 - Balance Sheet

	Balance
Triangle Expressway	
TPA-Revenue Triangle 2009 Clearing 23534302	279,751.90
TPA-TE SA Revenue Fund 23604701	6,250,480.74
TPA-TE SA Interest Account 23604703	8,602,917.60
TPA-TE SA Reserve Fund 2009 23604707	7,949,005.61
TPA-TriEx Trustee TIFIA Debt Service Fund 23534316	1,585,822.70
TPA-TE APA Triangle 2009 23534301	28,109,832.69
TPA-TE Senior Par Reserve Acct Triangle 2009 23534308	28,181,875.10
TPA-TE TIFIA Debt Service Reserve Triangle 2009 23534317	2,044,703.99
TPA-TE Senior Interest Triangle 2009 23534304	1,099,048.79
TPA-TriEx General Reserve 2009 23534318	85,568,015.61
TPA-TriEx Operations and Maintenance Expense Fund	1,253,074.74
TPA-TRiEx Operating Reserve Fund	3,405,793.94
TPA-TRiEx Renewal & Replacement Fund	23,865.19
Cash Held for Triangle Expressway	174,354,188.60
Monroe Bypass	
TPA-MN SA Principal Account 2010 24071402	0.06
TPA-MN SA Project Fund 2010 24071406	204,048,367.04
TPA-MN SA Reserve Fund 2010 24071405	4,370,500.50
TPA-MN SA Interest Account 2010 24071401	5,483.75
TPA-MN SA Revenue Fund 2010 24071400	6,022,388.64
TPA-MN Project Fund \$10M 2011 83786200	9,887,083.17
TPA-MN Project Fund Appropriations Bonds 2011 24071407	113,897,778.14
TPA-MN Project Fund GARVEE 2011 15-6570-001	131,821,841.91
TPA-MN General Revenue Fund 2010 83786201	1,563,987.91
TPA-MN Senior Lien Interest 2011 83786202	1,016,101.38
TPA-MN Senior Lien Principal 2011 83786203	23,798.88
TPA-MN General Reserve 2011 83786209	24,719,377.24
Cash Held for Monroe Bypass	497,376,708.62
Currituck Bridge	-
Total Restricted Investment - Exhibit A, Page 1	671,730,897.22

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit B1 pg 1 of 2 - Statement of Revenue

	Current Year		Prior Year		Estimate		Current Year Compared to Estimate
	Month	Year to Date	Month	Year to Date	Year Total	Year to Date	
Toll Revenues							
Triangle Expressway	3,302,321.38	6,360,992.92	2,774,691.98	5,482,766.38	24,833,650.00	4,004,694.77	2,356,298.15
Monroe	-	-	-	-	-	-	-
Mid-Currituck	-	-	-	-	-	-	-
Total Toll Revenues	3,302,321.38	6,360,992.92	2,774,691.98	5,482,766.38	24,833,650.00	4,004,694.77	2,356,298.15
Other Revenue							
Process Service Fees							
TPA-Process Service Fee	567,508.16	1,137,062.99	476,983.95	945,926.12	6,601,350.00	1,008,165.46	(250,732.86)
TPA - Process Service Fee Uncollectible	6,492.92	12,154.31	-	-	-	-	12,154.31
TPA Bond Premium 2011	-	-	-	-	-	-	-
GARVEE Premium 2012	-	-	-	-	-	-	-
TPA-Bond Premium Amortization	-	5,146,756.00	-	-	-	-	5,146,756.00
Total Process Service Fees	574,001.08	6,295,973.30	476,983.95	945,926.12	6,601,350.00	1,008,165.46	4,908,177.45
Interest Income							
Toll STIF Interest Income	4,189.60	7,602.06	-	-	-	-	7,602.06
Triex Interest Income On Investments	83,723.36	157,909.39	33,353.78	64,615.93	-	-	157,909.39
Monroe Interest Income On Investments	303,745.07	574,470.98	353,399.38	218,493.30	-	-	574,470.98
BABS Interest Rebate-Triangle Expressway	-	-	-	-	7,375,653.00	-	-
BABS Interest Rebate-Monroe	-	-	-	-	4,011,284.00	-	-
Total Interest Income	391,658.03	739,982.43	386,753.16	283,109.23	11,386,937.00	-	739,982.43
Transfers In/Out							
Transfer In From HF Project Participation	911,787.36	1,109,752.05	12,379,259.33	32,800,072.89	-	-	1,109,752.05
Transfer In From STI Project Participation	322,730.87	405,696.94	1,657,650.34	(10,897,743.37)	-	-	405,696.94
Transfer To HF From TPA	-	-	-	-	-	-	-
Transfer In From STI GAP Triangle Expressway	6,250,000.00	6,250,000.00	-	-	25,000,000.00	-	6,250,000.00
Transfer In From STI GAP Monroe	6,000,000.00	6,000,000.00	-	-	24,000,000.00	-	6,000,000.00
Transfer In From STI GAP Mid-Currituck	-	-	-	-	-	-	-
Transfer In From STI GAP Garden Park	-	-	-	-	-	-	-
Total Transfers In/Out	13,484,518.23	13,765,448.99	14,036,909.67	21,902,329.52	49,000,000.00	-	13,765,448.99
Miscellaneous Income/Expenses							
Electronic Card Fees	-	-	-	-	-	-	-
Returned Check Fee	(150.00)	325.00	519.00	994.00	-	-	325.00
TPA Interest Expense	-	-	(10,337.80)	(19,638.49)	-	-	-
Interest Due To HTF	(16,224.37)	(31,721.97)	-	-	-	-	(31,721.97)
VECTOR Expense Adjustment	-	-	(5.00)	(5.00)	-	-	-
Sales Tax Adjustment	(4.00)	(9.72)	(1.00)	(1.60)	-	-	(9.72)
Transponder Sales	50,389.12	98,492.65	58,182.98	119,586.44	-	-	98,492.65
Transponder Expense	(91,626.53)	(178,239.51)	(58,182.98)	(119,586.44)	-	-	(178,239.51)
Transponder Inventory Adjustment	(126.48)	(412.22)	(234.18)	(557.38)	-	-	(412.22)
Total Miscellaneous Income/Expenses	(57,742.26)	(111,565.77)	(10,058.98)	(19,208.47)	-	-	(111,565.77)
Non Toll Revenue	14,392,435.08	20,689,838.95	14,890,587.80	23,112,156.40	66,988,287.00	1,008,165.46	19,302,043.10
Total Revenue	17,694,756.46	27,050,831.87	17,665,279.78	28,594,922.78	91,821,937.00	5,012,860.23	21,658,341.25

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit B1 pg 2 of 2 - Statement of Revenue

	Current Month				Year to Date			
	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue	Gross Revenue	Less Refunds	Uncollectibles	Net Revenue
Toll Revenues								
TriEx	3,514,632.75	-	212,311.37	3,302,321.38	6,838,464.79	-	477,471.87	6,360,992.92
Monroe	-	-	-	-	-	-	-	-
Mid-Currituck	-	-	-	-	-	-	-	-
Total Toll Revenues	3,514,632.75	-	212,311.37	3,302,321.38	6,838,464.79	-	477,471.87	6,360,992.92
Other Revenue								
Process Service Fees								
TPA-Process Service Fee	567,508.16	-	-	567,508.16	1,137,062.99	-	-	1,137,062.99
TPA - Process Service Fee Uncollectible	6,492.92	-	-	6,492.92	12,154.31	-	-	12,154.31
TPA Bond Premium 2011	-	-	-	-	-	-	-	-
GARVEE Premium 2012	-	-	-	-	-	-	-	-
TP-Bond Premium Amortization	-	-	-	-	5,146,756.00	-	-	5,146,756.00
Total Process Service Fees	574,001.08	-	-	574,001.08	6,295,973.30	-	-	6,295,973.30
Interest Income								
Toll STIF Interest Income	4,189.60	-	-	4,189.60	7,602.06	-	-	7,602.06
Triex Interest Income On Investments	83,723.36	-	-	83,723.36	157,909.39	-	-	157,909.39
Monroe Interest Income On Investments	303,745.07	-	-	303,745.07	574,470.98	-	-	574,470.98
BABS Interest Rebate-Triangle Expressway	-	-	-	-	-	-	-	-
BABS Interest Rebate-Monroe	-	-	-	-	-	-	-	-
Total Interest Income	391,658.03	-	-	391,658.03	739,982.43	-	-	739,982.43
Transfers In/Out								
Transfer In From HF Project Participation	911,787.36	-	-	911,787.36	1,109,752.05	-	-	1,109,752.05
Transfer In From STI Project Participation	322,730.87	-	-	322,730.87	405,696.94	-	-	405,696.94
Transfer To HF From TPA	-	-	-	-	-	-	-	-
Transfer In From STI GAP Triangle Expressway	6,250,000.00	-	-	6,250,000.00	6,250,000.00	-	-	6,250,000.00
Transfer In From STI GAP Monroe	6,000,000.00	-	-	6,000,000.00	6,000,000.00	-	-	6,000,000.00
Transfer In From STI GAP Mid-Currituck	-	-	-	-	-	-	-	-
Transfer In From STI GAP Garden Park	-	-	-	-	-	-	-	-
Total Transfers In/Out	13,484,518.23	-	-	13,484,518.23	13,765,448.99	-	-	13,765,448.99
Miscellaneous Income/Expenses								
Electronic Card Fees	-	-	-	-	-	-	-	-
Returned Check Fee	(150.00)	-	-	(150.00)	325.00	-	-	325.00
TPA Interest Expense	-	-	-	-	-	-	-	-
Interest Due To HTF	(16,224.37)	-	-	(16,224.37)	(31,721.97)	-	-	(31,721.97)
VECTOR Expense Adjustment	-	-	-	-	-	-	-	-
Sales Tax Adjustment	(4.00)	-	-	(4.00)	(9.72)	-	-	(9.72)
Transponder Sales	50,389.12	-	-	50,389.12	98,492.65	-	-	98,492.65
Transponder Expense	(91,626.53)	-	-	(91,626.53)	(178,239.51)	-	-	(178,239.51)
Transponder Inventory Adjustment	(126.48)	-	-	(126.48)	(412.22)	-	-	(412.22)
Total Miscellaneous Income/Expenses	(57,742.26)	-	-	(57,742.26)	(111,565.77)	-	-	(111,565.77)
Non Toll Revenue	14,392,435.08	-	-	14,392,435.08	20,689,838.95	-	-	20,689,838.95
Total Revenue	17,907,067.83	-	212,311.37	17,694,756.46	27,528,303.74	-	477,471.87	27,050,831.87

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit B2 - Statement of Participation Revenue

	Unrealized Prior Year Balance	Current Year Estimated Revenue	Total Estimated Revenue	Current Year Actual Revenue		Prior Year Actual Revenue		Unrealized Participation Revenue
				Month	Year to Date	Month	Year to Date	
Operations/Maintenance								
Damage Claims to Toll Roads	12,434.44	-	12,434.44	-	8,210.00	-	4,224.44	4,224.44
Operations/Maintenance	12,434.44	-	12,434.44	-	8,210.00	-	4,224.44	4,224.44
FHWA Construction								
Local Government Participation	-	-	-	-	-	-	-	-
Federal-Aid	8,758,859.00	-	8,758,859.00	51,567.00	87,475.00	118,662.00	268,731.00	8,671,384.00
GARVEE NHS 2011 Issuance	1,611,739.00	2,886,700.00	4,498,439.00	2,886,587.00	2,886,587.00	2,886,675.00	2,886,675.00	1,611,852.00
Property Owner's Participation	33,000.00	-	33,000.00	-	-	-	-	33,000.00
FHWA Construction	10,403,598.00	2,886,700.00	13,290,298.00	2,938,154.00	2,974,062.00	3,005,337.00	3,155,406.00	10,316,236.00
Total Participation Revenues	10,416,032.44	2,886,700.00	13,302,732.44	2,938,154.00	2,982,272.00	3,005,337.00	3,159,630.44	10,320,460.44

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit C Page 1 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments & Expenditures

	Unexpended Allotments Brought Forward	Total Appropriations	Allotments	Current Period Expenditures	Year to Date Expenditures	Unallotted Appropriations	Unexpended Appropriations	Unexpended Allotments
Current Accounts								
Turnpike Authority Administration	-	-	-	(19,599.90)	-	-	-	-
Administrative Expenditures	-	807,957.00	-	64,922.67	107,972.59	807,957.00	699,984.41	(107,972.59)
Trust Fund Transfer	-	(807,957.00)	-	(84,727.28)	(107,742.50)	(807,957.00)	(700,214.50)	107,742.50
Interest Income	-	-	-	204.71	(230.09)	-	230.09	230.09
Allocations	-	-	-	-	-	-	-	-
Orders And Others	-	-	-	-	-	-	-	-
Field Operations	-	-	-	-	-	-	-	-
Turnpike Authority Special Funding	-	208,077,233.58	-	-	45,365,958.78	208,077,233.58	162,711,274.80	(45,365,958.78)
Triangle Expressway	-	151,996,455.91	-	-	26,920,665.18	151,996,455.91	125,075,790.73	(26,920,665.18)
Monroe Bypass	-	41,080,777.67	-	-	18,445,293.60	41,080,777.67	22,635,484.07	(18,445,293.60)
Mid Currituck Bridge	-	15,000,000.00	-	-	-	15,000,000.00	15,000,000.00	-
Garden Parkway	-	-	-	-	-	-	-	-
Total Current Accounts	-	208,077,233.58	-	(19,599.90)	45,365,958.78	208,077,233.58	162,711,274.80	(45,365,958.78)
Encumbrance Accounts								
Turnpike Construction	519,264,089.59	251,149,295.39	15,732,428.66	29,989,298.58	59,284,571.19	235,416,866.73	711,128,813.79	475,711,947.06
Total Encumbrance Accounts	519,264,089.59	251,149,295.39	15,732,428.66	29,989,298.58	59,284,571.19	235,416,866.73	711,128,813.79	475,711,947.06
Total Turnpike Authority	519,264,089.59	459,226,528.97	15,732,428.66	29,969,698.68	104,650,529.97	443,494,100.31	873,840,088.59	430,345,988.28

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit C Page 2 of 2 - Statement of Appropriations, Apportionments, Participations, Allotments & Expenditures

	Previous Year			Current Year			Compared to Previous		
	Yearly Appropriations	Period Expenditures	Year to Date Expenditures	Yearly Appropriations	Period Expenditures	Year to Date Expenditures	Appropriations	Period Expenditures	Year to Date Expenditures
Current Accounts									
Turnpike Authority Administration	-	-	-	-	(19,599.90)	-	-	(19,599.90)	-
Administrative Expenditures	807,555.00	87,712.27	122,341.17	807,957.00	64,922.67	107,972.59	402.00	(22,789.60)	(14,368.58)
Trust Fund Transfer	(807,555.00)	(86,473.41)	(121,102.31)	(807,957.00)	(84,727.28)	(107,742.50)	(402.00)	1,746.13	13,359.81
Interest Income	-	(1,238.86)	(1,238.86)	-	204.71	(230.09)	-	1,443.57	1,008.77
Orders And Others	-	-	-	-	-	-	-	-	-
Field Operations	-	-	-	-	-	-	-	-	-
Turnpike Authority Special Funding	171,818,518.12	-	43,967,169.10	208,077,233.58	-	45,365,958.78	36,258,715.46	-	1,398,789.68
Triangle Expressway	113,939,333.25	-	25,716,829.50	151,996,455.91	-	26,920,665.18	38,057,122.66	-	1,203,835.68
Monroe Bypass	42,879,184.87	-	18,250,339.60	41,080,777.67	-	18,445,293.60	(1,798,407.20)	-	194,954.00
Mid Currituck Bridge	15,000,000.00	-	-	15,000,000.00	-	-	-	-	-
Garden Parkway	-	-	-	-	-	-	-	-	-
Total Current Accounts	171,818,518.12	-	43,967,169.10	208,077,233.58	(19,599.90)	45,365,958.78	36,258,715.46	(19,599.90)	1,398,789.68
Encumbrance Accounts									
Turnpike Construction	181,596,517.53	20,059,911.09	26,556,735.54	110,642,999.57	29,989,298.58	59,284,571.19	(70,953,517.96)	9,929,387.49	32,727,835.65
Total Encumbrance Accounts	181,596,517.53	20,059,911.09	26,556,735.54	110,642,999.57	29,989,298.58	59,284,571.19	(70,953,517.96)	9,929,387.49	32,727,835.65
Total Turnpike Authority	353,415,035.65	20,059,911.09	70,523,904.64	318,720,233.15	29,969,698.68	104,650,529.97	(34,694,802.50)	9,909,787.59	34,126,625.33

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit D - Statement of Federal Forward Funding

	Fiscal Year					Year to Date
	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021	
System Type						
FHWA Turnpike STP	5,290,798.00	-	-	-	-	5,290,798.00
FHWA Turnpike NHS	8,846,764.00	-	-	-	-	8,846,764.00
Total	14,137,562.00	-	-	-	-	14,137,562.00

Note: Federal cash flow is converted throughout the federal fiscal year which begins October 1 and ends September 30 of each year.

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit E1 - GARVEE Bonds Projects by Category

	Interstate Maintenance	National Highway System	Surface Transportation Program	Total
Fiscal Year				
2016-2017	-	75,353,353.00	-	75,353,353.00
2017-2018	-	17,005,667.00	-	17,005,667.00
2018-2019	-	17,005,667.00	-	17,005,667.00
2019-2020	-	17,005,667.00	-	17,005,667.00
2020-2021	-	17,005,667.00	-	17,005,667.00
2021-2022	-	17,005,667.00	-	17,005,667.00
2022-2023	-	17,005,667.00	-	17,005,667.00
2023-2024	-	-	-	-
2024-2025	-	-	-	-
2025-2026	-	-	-	-
2026-2027	-	-	-	-
2027-2028	-	-	-	-
Total	-	177,387,355.00	-	177,387,355.00

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit E2 - Statement of Allotments, Expenditures, and Receipts for GARVEE Bond Projects

Tip #	WBS	Description	Allotments Federal and State Funds **	Expenditures		Unexpended Allotments	Trustee Reimbursement		FHWA Reimbursement	
				Current Period	Inception to Date***		Current Period	Inception to Date	Current Period	Inception to Date
Division 10										
R-3329	34533.2.TAGV3	ROW - US74 BYPASS	199,062,621.00	2,659,505.51	80,393,175.42	118,669,445.58	-	8,380,604.00	2,886,587.00	10,500,794.00
R-3329	34533.3.TAGV3	Const.- US74 BYPASS	122,781,913.94	17,491.55	102,278,338.73	20,503,575.21	-	19,015,199.00	-	16,489,374.00
Total			321,844,534.94	2,676,997.06	182,671,514.15	139,173,020.79	-	27,395,803.00	2,886,587.00	26,990,168.00

**Allotment Includes Estimated Debt Service Expense
***Inception to Date Expenditures shown only for those split
& tagged to a funding source

North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016

Exhibit E3 - Summary of GARVEE Bond Fund Availability and Allocations

Description	2011 Issue	Total
GARVEE Bond Proceeds Available		
Bond Funds Available	145,535,000.00	145,535,000.00
Bond Premium Proceeds	14,459,939.20	14,459,939.20
Less: Total Underwriter's Discount	(876,801.88)	(876,801.88)
Less: Issuance Costs	(331,075.44)	(331,075.44)
Total Bond Proceeds Available	158,787,061.88	158,787,061.88
Adjustments to Available Funds:		
Interest from Prior Years	647,124.91	647,124.91
Interest from Current Year	25,631.46	25,631.46
Bond Management Fees	(241,698.36)	(241,698.36)
Earnings Used for Debt Service	(212.76)	(212.76)
Trustee Reimbursement (Requisitions)	(27,395,803.00)	(27,395,803.00)
Bank Adjustments	(262.22)	(262.22)
Total Adjustments to Available Funds	(26,965,219.97)	(26,965,219.97)
Total Funds Available	131,821,841.91	131,821,841.91

**North Carolina Department of Transportation
Turnpike Fund
Period Ending August 31, 2016**

Notes